CS 598MEB Computational Cancer Genomics Lecture 2

Mohammed El-Kebir January 17, 2019



Lecture Outline

- Recap
- Maximum Parsimony
- Two-state Perfect Phylogeny
- Two-state Perfect Phylogeny Mixtures

Reading

Lecture notes

Hallmarks of Cancer



Inter-tumor heterogeneity: Every tumor is different!

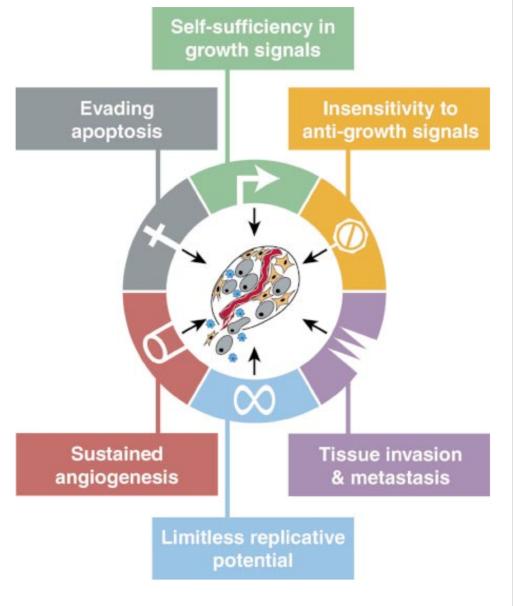


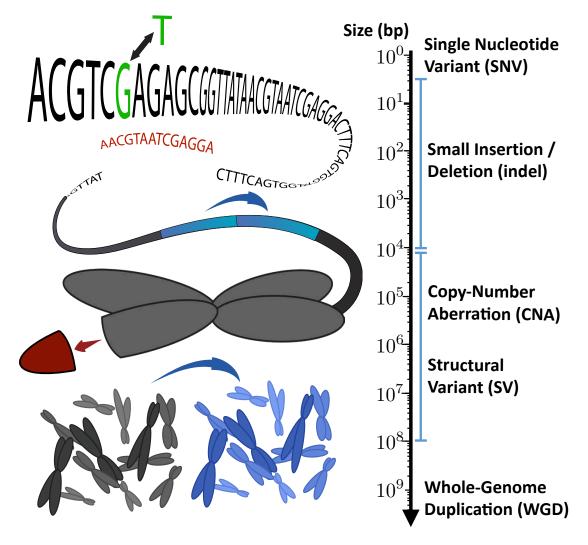
Figure 1. Acquired Capabilities of Cancer

We suggest that most if not all cancers have acquired the same set of functional capabilities during their development, albeit through various mechanistic strategies.

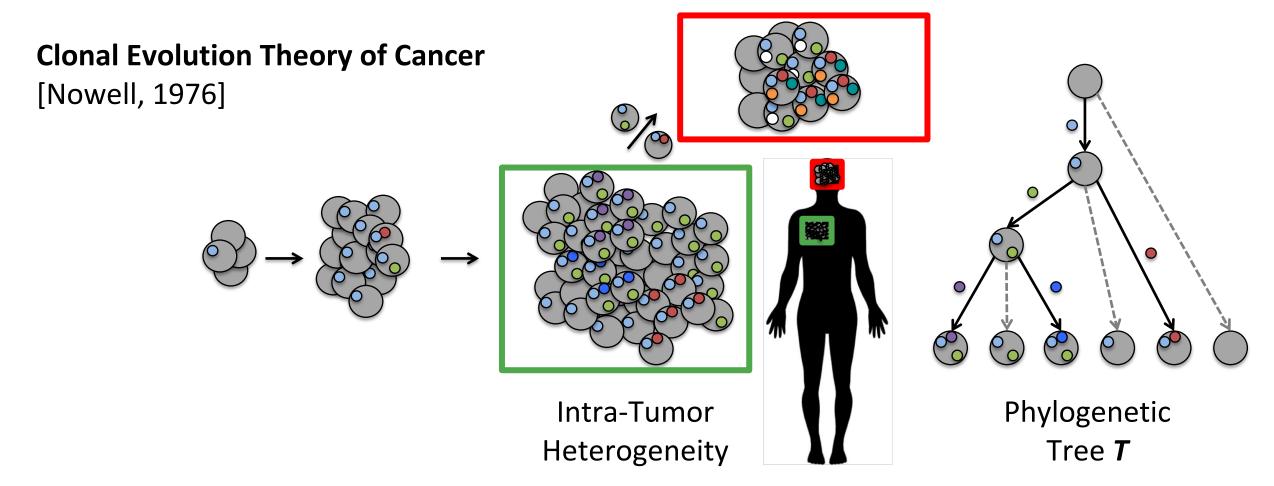
Cancer is Caused by Somatic Mutations



Inter-tumor heterogeneity: Every tumor is different!

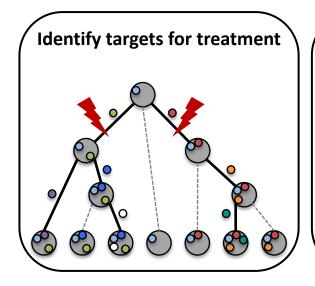


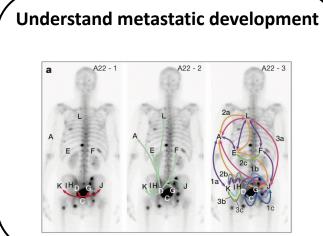
Tumorigenesis: Cell Mutation, Division & Migration

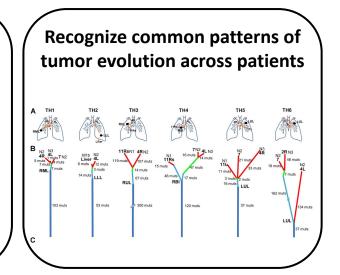


Intra-tumor heterogeneity: Every tumor cell is different

Phylogenies are Key to Understanding Cancer







These downstream analyses critically rely on accurate tumor phylogeny inference

Key challenge in phylogenetics:

Accurate phylogeny inference from data at present time

Lecture Outline

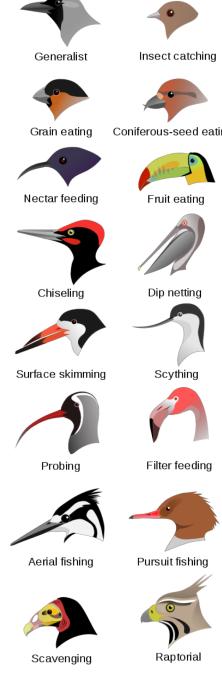
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Character-Based Tree Reconstruction

- Characters may be morphological features
 - Shape of beak {generalist, insect catching, ...}
 - Number of legs {2,3,4, ..}
 - Hibernation {yes, no}
- Character may be nucleotides/amino acids
 - {A, T, C, G}
 - 20 amino acids
- Values of a character are called states
 - We assume discrete states



Character-Based Phylogeny Reconstruction

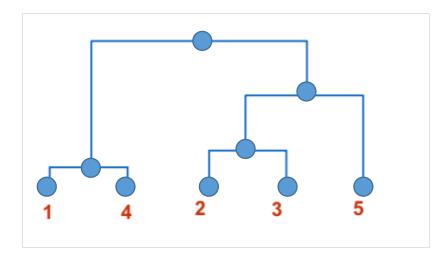
Input characters



Output optimal tree

Question: What is optimal?

Want: Optimization criterion



Character-Based Phylogeny Reconstruction

Input characters



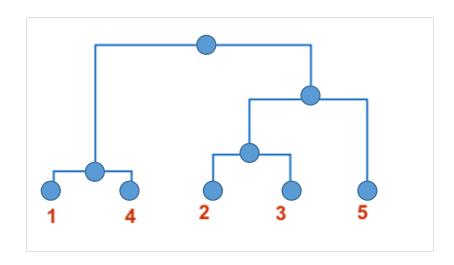
Output optimal tree

Question: What is optimal?

Want: Optimization criterion

Question: How to optimize this criterion?

Want: Algorithm



Character-Based Phylogeny Reconstruction: Input

Characters / states	State 1	State 2
Mouth	Smile	Frown
Eyebrows	Normal	Pointed

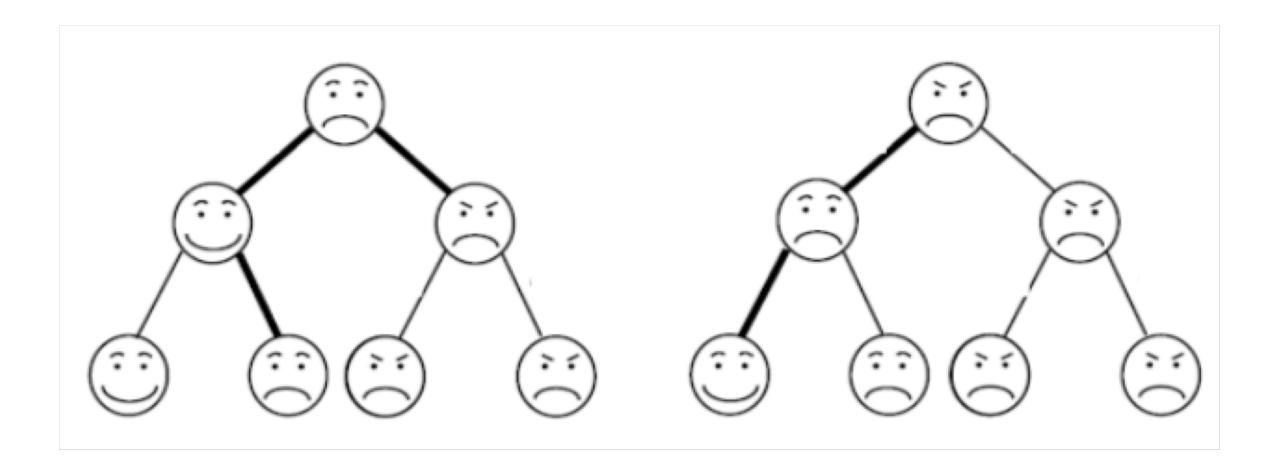






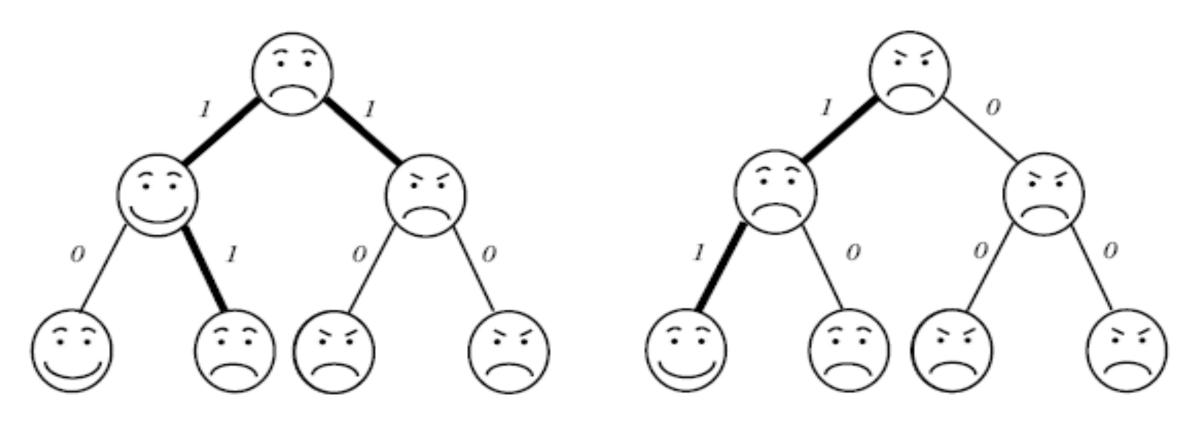


Character-Based Phylogeny Reconstruction: Criterion



Question: Which tree is better?

Character-Based Phylogeny Reconstruction: Criterion



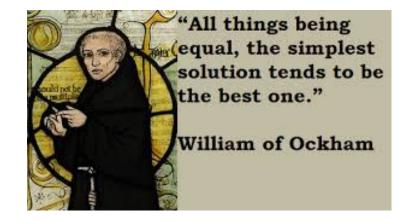
(a) Parsimony Score=3

(b) Parsimony Score=2

Parsimony: minimize number of changes on edges of tree

Why Parsimony?

- Ockham's razor: "simplest" explanation for data
- Assumes that observed character differences resulted from the fewest possible mutations
- Seeks tree with the lowest parsimony score, i.e. the sum of all (costs of) mutations in the tree.



Binary Characters

Characters 1 2 3 4 5 A 0 1 1 0 0 Secience B 0 0 1 1 0 D 1 1 0 1 1

Characters only have two possible states

Possible Encoding:

0 : not-mutated

1: mutated

Possible Encoding:

0 : no wings

1: wings

A Small and a Large Problem

Small Maximum Parsimony Phylogeny Problem:

Given $m \times n$ matrix $A = [a_{i,j}]$ and tree T with m leaves, find assignment of character states to each internal vertex of T with minimum parsimony score.

Large Maximum Parsimony Phylogeny Problem:

Given $m \times n$ matrix $A = [a_{i,j}]$, find a tree T with m leaves labeled according to A and an assignment of character states to each internal vertex of T with minimum parsimony score.

A Small and a Large Problem

Small Maximum Parsimony Phylogeny Problem:

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Large Maximum Parsimony Phylogeny Problem:

Given $m \times n$ matrix $A = [a_{i,j}]$, find a tree T with m leaves labeled according to A and an assignment of character states to each internal vertex of T with minimum parsimony score.

Question: Are both problems easy (i.e. in P)?

Large Maximum Parsimony Phylogeny

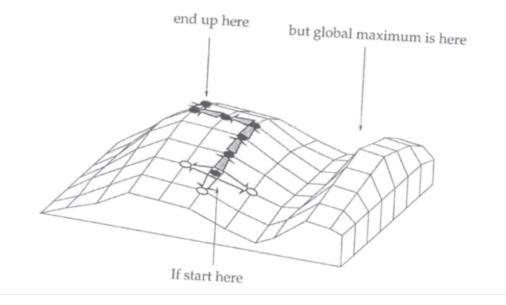
 This problem is NP-hard

 Heuristics using local search (tree moves)

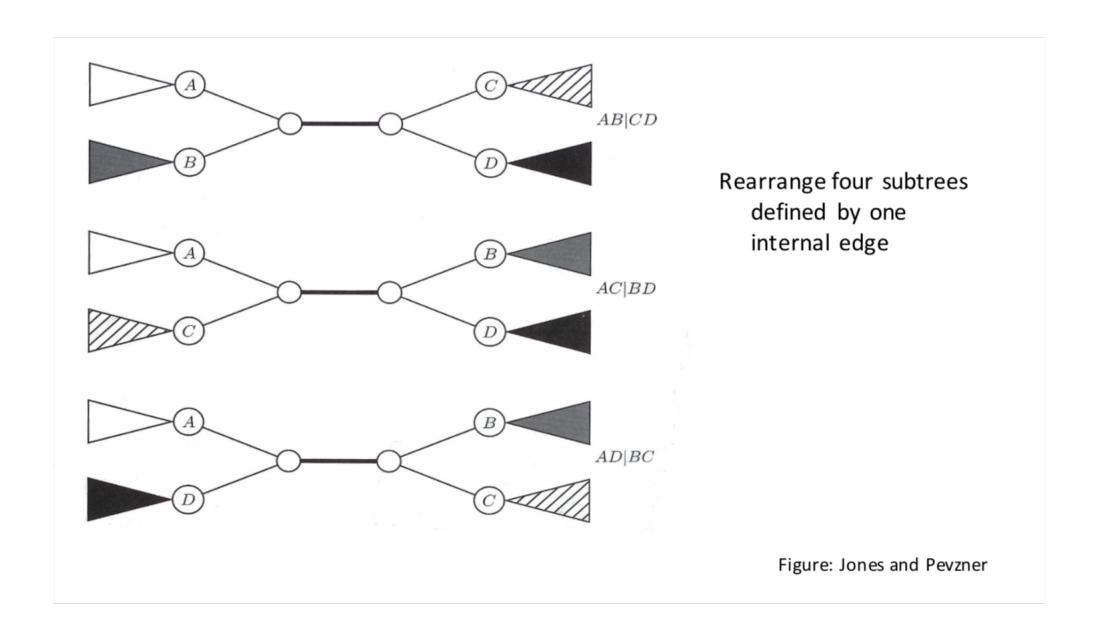
- 1. Start with an arbitrary tree *T.*
- 2. Check "neighbors" of *T*.
- Move to a neighbor if it provides the best improvement in parsimony/likelihood score.

Caveats:

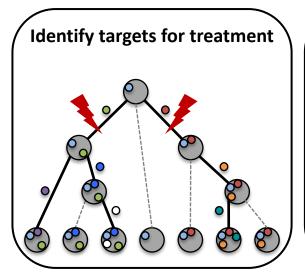
Could be stuck in **local** optimum, and not achieve global optimum

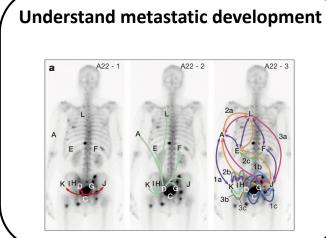


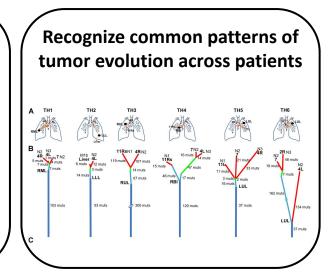
Local Search: Nearest-Neighbor Interchange (NNI)



Phylogenies are Key to Understanding Cancer





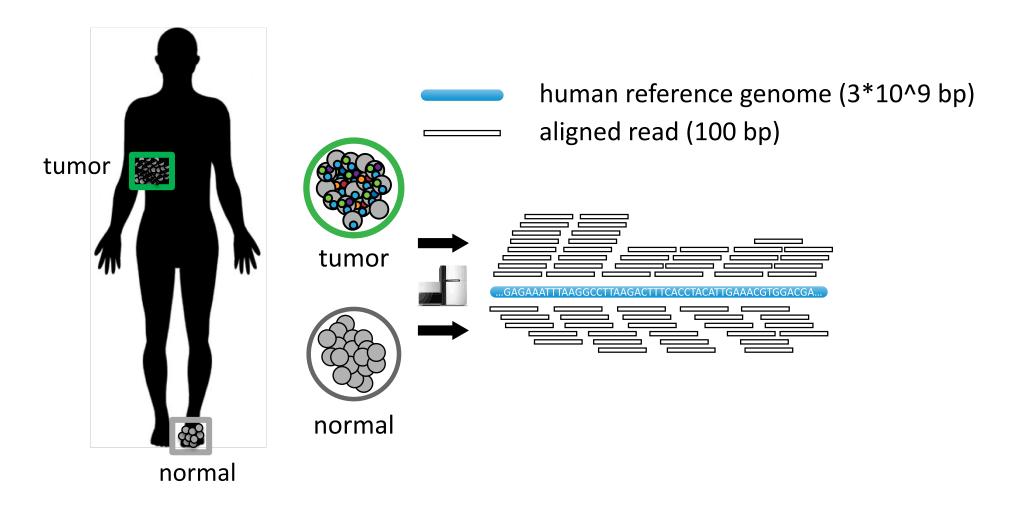


These downstream analyses critically rely on accurate tumor phylogeny inference

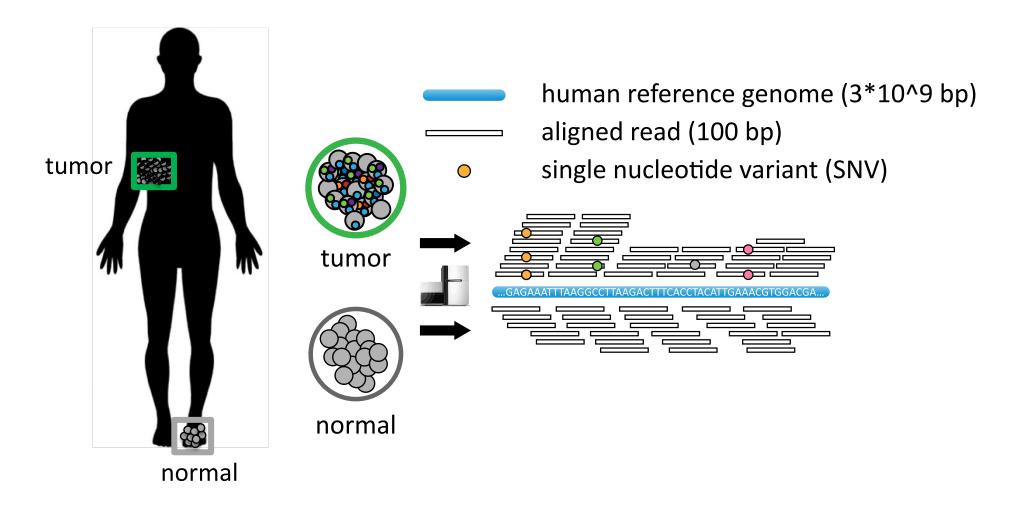
Key challenge in phylogenetics:

Accurate phylogeny inference from data at present time

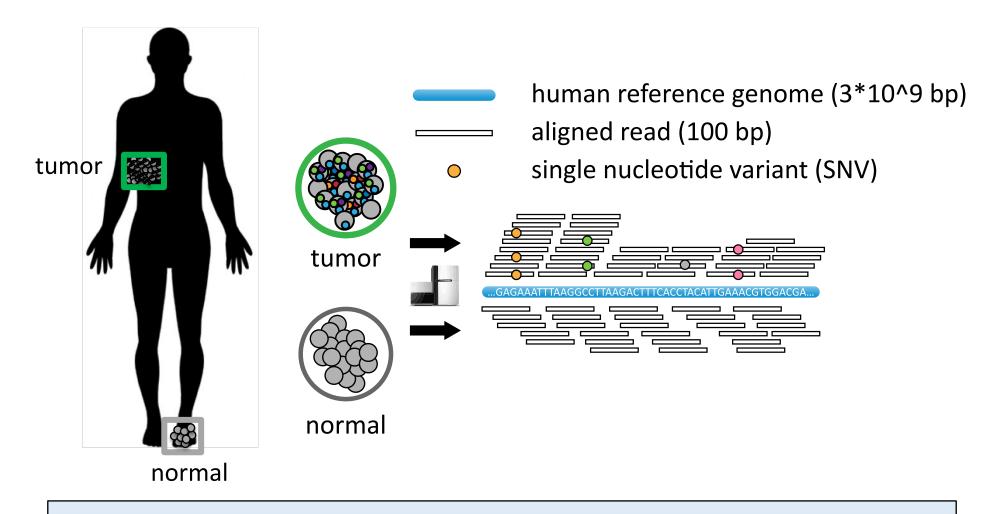
Additional Challenge in Cancer Phylogenetics



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Additional challenge in cancer phylogenetics:

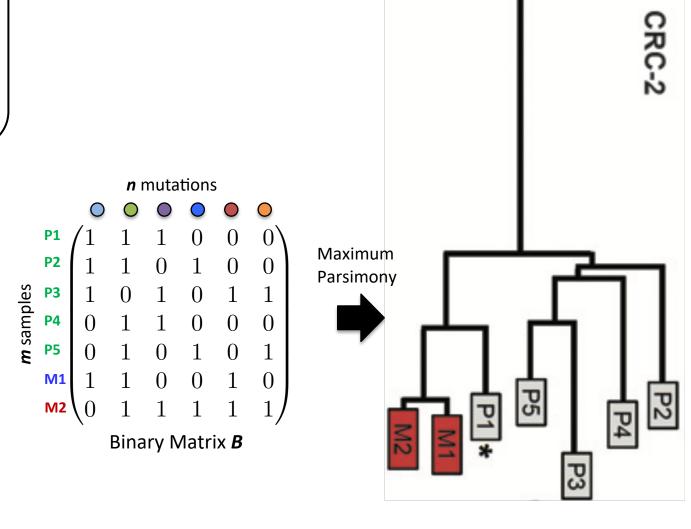
Phylogeny inference from mixed bulk samples at present time

Tumor Phylogeny Inference

Metastatic Colorectal Cancer (Patient CRC2)

[Kim et al., Clin Cancer Res 21(19), 2015]:

- 5 primary samples (P1-P5)
- 2 metastases (M1-M2)
- 412 single-nucleotide variants (SNVs)

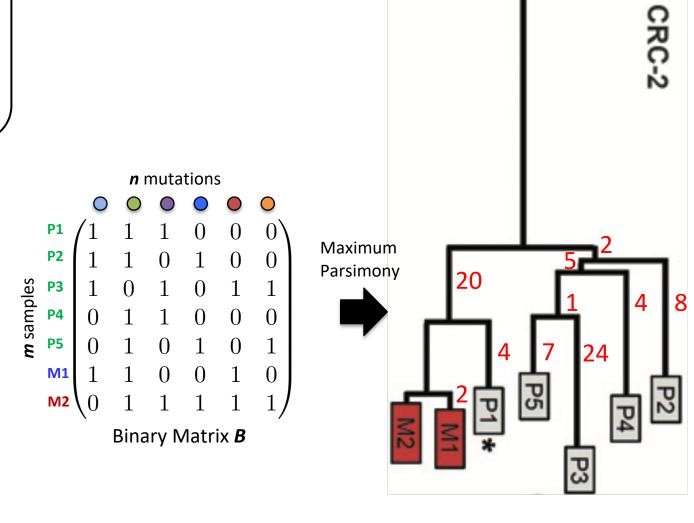


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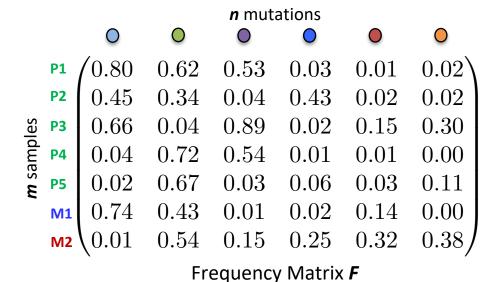


Heuristic for Tumor Phylogeny Inference

Metastatic Colorectal Cancer (Patient CRC2)

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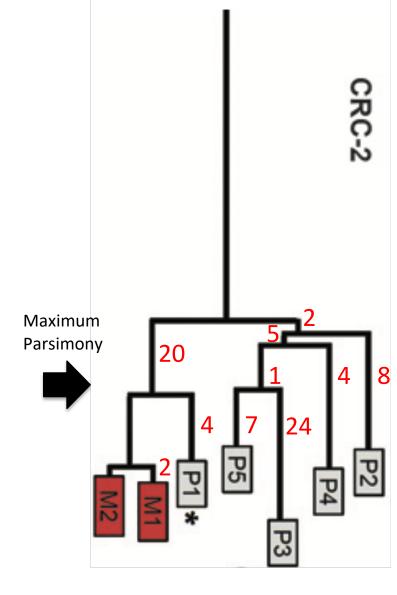


Discretize



n mutations

Binary Matrix **B**



Resulting sample tree is <u>not</u> representative of the division/mutation history or the migration history

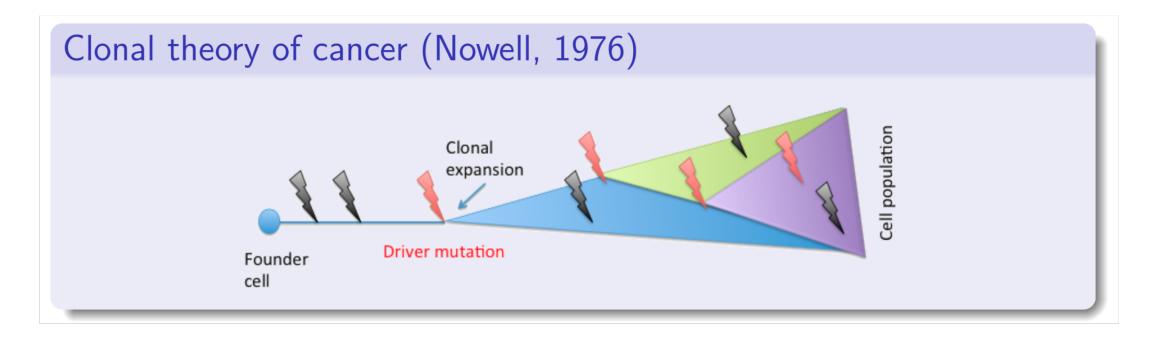
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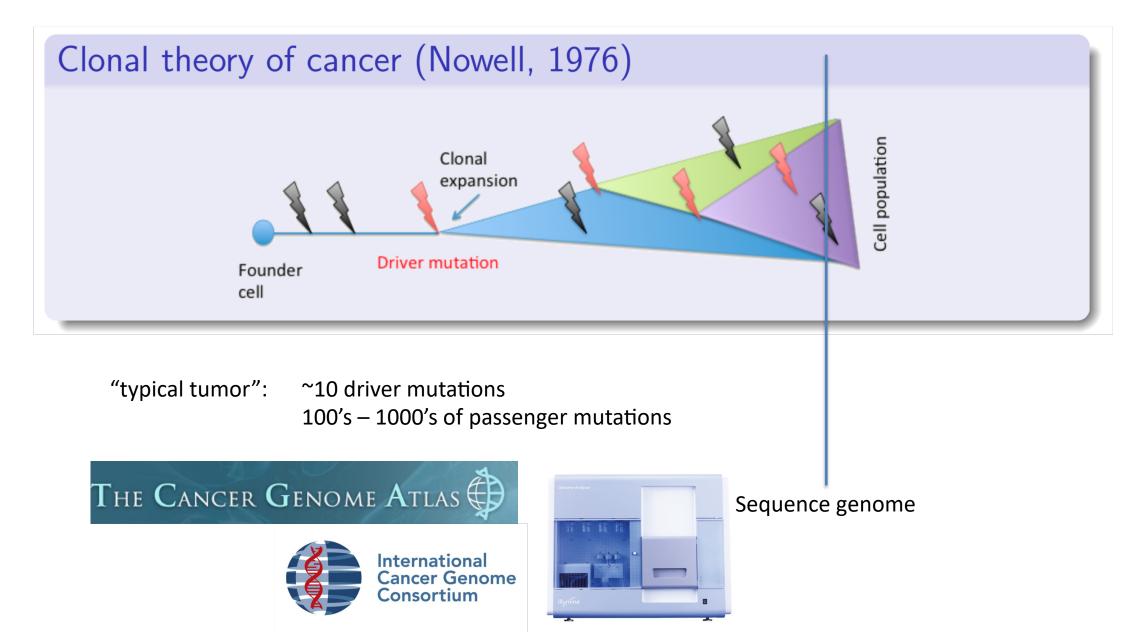
Somatic Mutations and Cancer



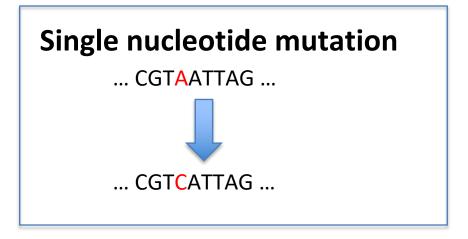
"typical tumor": ~10 driver mutations

100's – 1000's of passenger mutations

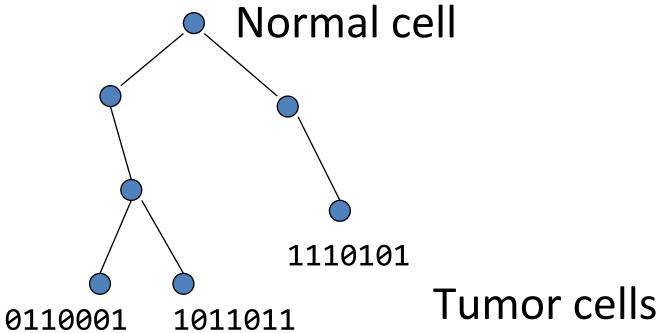
Somatic Mutations and Cancer



Progression of Somatic Mutations

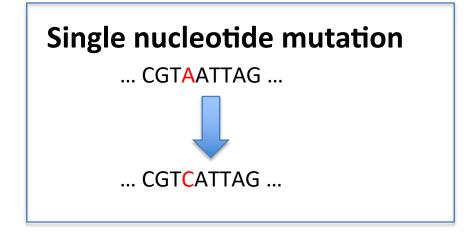


0 = normal 1 = mutated



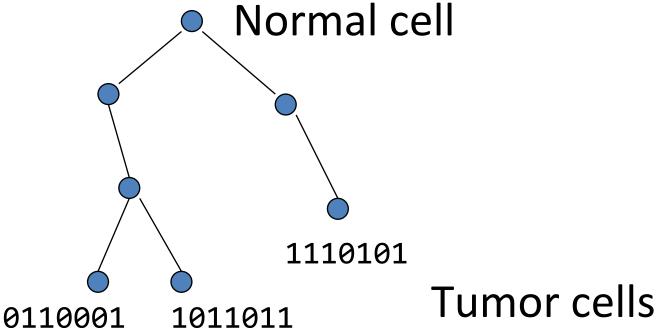
Root is the normal, founder cell and leaves are cells in tumor.

Progression of Somatic Mutations



0 = normal

1 = mutated



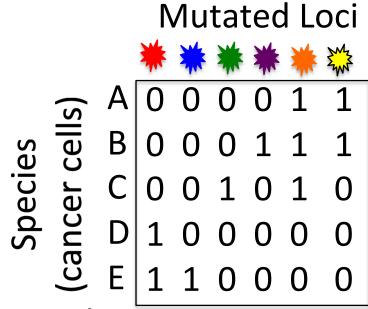
Root is the normal, founder cell and leaves are cells in tumor.

Infinite sites assumption: each locus mutates only once.

Infinite Sites Model

The genome is large Mutations are rare

Infinite sites model: multiple mutations never occur at the same position



1: mutated

0: not

All sites are bi-allelic: mutated or not.

[Kimura, 1969]

Two-state Perfect Phylogeny

Matrix $M \in \{0,1\}^{n \times m}$ has n taxa and m characters

Taxon f has state 1 for character c

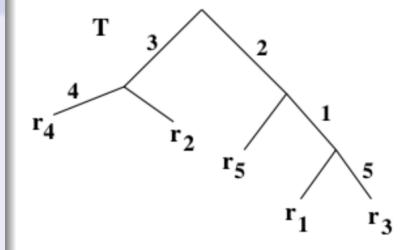
 ⇔ f possesses character c

	c_1	<i>c</i> ₂	<i>c</i> ₃	<i>C</i> ₄	<i>C</i> ₅
r_1	1	1	0	0	0
<i>r</i> ₂	0	0	1	0	0
<i>r</i> ₃	1	1	0	0	1
<i>r</i> ₄	0	0	1	1	0
<i>r</i> ₅	0	1 0 1 0 1	0	0	0

Definition

A perfect phylogeny for *M* is a rooted tree *T* with *n* leaves such that:

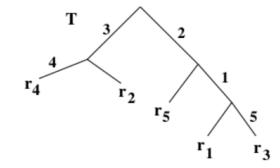
- Each taxon labels only one leaf
- Each character labels only one edge
- Oharacter possessed by a taxon are on unique path to root

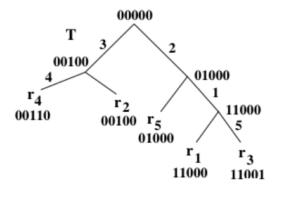


Root node is all zero ancestor

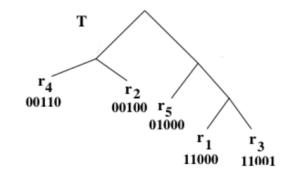
Two-state Perfect Phylogeny – Alternative Definitions

- Each taxon labels exactly one leaf
- Each character labels exactly one edge
- Character possessed by a taxon are on unique path to root





- Each taxon labels exactly one leaf
- ② Each node is labeled by $\{0,1\}^m$
- 3 Nodes labeled with state *i* for character *c* form a connected subtree
- Each taxon labels exactly one leaf
- $T_c(i)$ is smallest subtree connecting all leaves labeled with state i for character c
- $T_c(0)$ and $T_c(1)$ are disjoint for all c



Two-state Perfect Phylogeny Problem

Input:

Matrix $M \in \{0,1\}^{n \times m}$ has n taxa and m characters

• Taxon f has state 1 for character c $\Leftrightarrow f$ possesses character c

	c_1	<i>c</i> ₂	<i>c</i> ₃	<i>C</i> ₄	<i>C</i> ₅
r_1	1	1	0	0	0
r_2	0	0	1	0	0
<i>r</i> ₃	1	1	0	0	1
<i>r</i> ₄	0	0	1	1	0
<i>r</i> ₅	0	1	0	0 0 0 0 1 0	0

Problem

Given $M \in \{0,1\}^{n \times m}$ does M have a perfect phylogeny?

Try it yourself!

Only one of these matrices can be used to build a perfect phylogeny.

- (1) As a group, decide on an approach to try to determine which one is which.
- (2) Try out your approach to see if you can construct the tree.
- (3) What did you learn from your attempt?

Characters
$$C_1 C_2 C_3 C_4 C_5$$

$$M_1 = \begin{array}{c|cccc} & & & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\$$

$$\mathsf{M}_2 = \begin{array}{c} \mathsf{Characters} \\ \mathsf{C}_1 \, \mathsf{C}_2 \, \mathsf{C}_3 \, \mathsf{C}_4 \, \mathsf{C}_5 \\ \mathsf{A} & \mathsf{O} & \mathsf{O} & \mathsf{1} & \mathsf{1} & \mathsf{0} \\ \mathsf{B} & \mathsf{O} & \mathsf{0} & \mathsf{1} & \mathsf{0} & \mathsf{1} \\ \mathsf{D} & \mathsf{1} & \mathsf{1} & \mathsf{0} & \mathsf{0} & \mathsf{1} \\ \mathsf{D} & \mathsf{1} & \mathsf{1} & \mathsf{0} & \mathsf{0} & \mathsf{0} \\ \mathsf{E} & \mathsf{0} & \mathsf{1} & \mathsf{0} & \mathsf{0} & \mathsf{1} \\ \end{array}$$

The Perfect Phylogeny Problem – Preliminaries

Problem

Given $M \in \{0,1\}^{n \times m}$ does M have a perfect phylogeny?

Definition

I(c) is the set of taxa that possess character c; and $\sigma(f)$ is the set of characters possessed by taxon f.

	c_1	<i>c</i> ₂	<i>c</i> ₃	<i>C</i> ₄	<i>c</i> ₅	
$\overline{r_1}$	1	1	0	0	0	
<i>r</i> ₂	0	0	1	0	0	
<i>r</i> ₃	1	1	0	0	1	\Rightarrow
r_4	0	1 0 1 0	1	1	0	
r _E	0	1	0	0	0	

$$I(c_1) = \{r_1, r_3\}$$

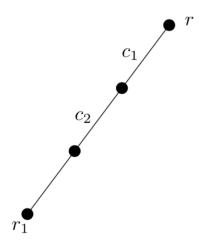
 $\sigma(r_1) = \{c_1, c_2\}$

Sort columns of M s.t. c < d iff $|I(c)| \ge |I(d)|$. Break ties arbitrarily.

- Consider rows of *M* iteratively
 - $ightharpoonup T_i$ is tree of first *i* rows of *M*
- T_1 is a path graph
 - ► Terminal nodes *r* and 1
 - ▶ $|\sigma(1)| + 1$ edges labeled by $\sigma(1)$

$$c < d \text{ iff } |I(c)| \geq |I(d)|$$

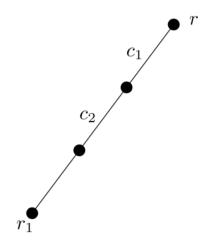
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- Consider rows of M iteratively
 - $ightharpoonup T_i$ is tree of first *i* rows of *M*
- \bullet T_1 is a path graph
 - ► Terminal nodes r and 1
 - ▶ $|\sigma(1)| + 1$ edges labeled by $\sigma(1)$
- T_{i+1} is a supertree of T_i
 - Let v be last node on walk from r matching characters $\sigma(i+1)$
 - ★ Character *d* is the last match
 - **★** Unmatched characters $\tau(i+1)$

$$c < d \text{ iff } |I(c)| \geq |I(d)|$$

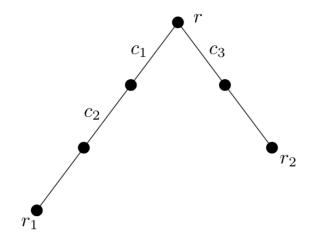
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r_4	0	0	1	0	1
<i>r</i> ₅	1	0	0	0	0



- Consider rows of *M* iteratively
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 - ★ Character *d* is the last match
 - ★ Unmatched characters $\tau(i+1)$
 - ightharpoonup Extend T_i with path Π
 - ★ Π has terminals v and i+1
 - ★ Π has $|\tau(i+1)| + 1$ edges labeled by $\tau(i+1)$

$$c < d \text{ iff } |I(c)| \geq |I(d)|$$

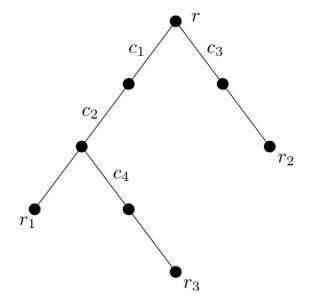
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$$c < d \text{ iff } |I(c)| \geq |I(d)|$$

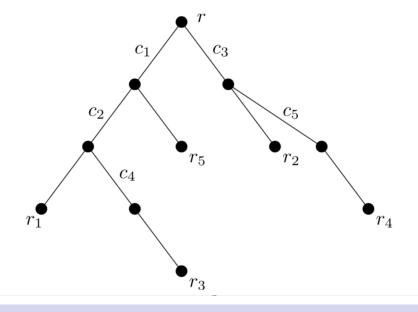
	c_1	<i>c</i> ₂	<i>c</i> ₃	<i>c</i> ₄	<i>c</i> ₅
r_1	1	1	0	0	0
r_2	0	0	1	0	0
r_3	1	1	0	1	0
<i>r</i> ₄	0	0	1	0	1
<i>r</i> ₅	1	0	0	0	0



- Consider rows of *M* iteratively
 - $ightharpoonup T_i$ is tree of first *i* rows of *M*
- T_1 is a path graph
 - Terminal nodes r and 1
 - ▶ $|\sigma(1)| + 1$ edges labeled by $\sigma(1)$
- T_{i+1} is a supertree of T_i
 - Let v be last node on walk from r matching characters $\sigma(i+1)$
 - ★ Character *d* is the last match
 - ★ Unmatched characters $\tau(i+1)$
 - ightharpoonup Extend T_i with path Π
 - ★ Π has terminals v and i+1
 - ★ Π has $|\tau(i+1)| + 1$ edges labeled by $\tau(i+1)$

$$c < d \text{ iff } |I(c)| \geq |I(d)|$$

	c_1	<i>c</i> ₂	<i>c</i> ₃	<i>c</i> ₄	c ₅
$\overline{r_1}$	1	1	0	0	0
r_2	0	0	1	0	0
<i>r</i> ₃	1	1	0	1	0
r_4	0	0	1	0	1
<i>r</i> ₅	1	0	0	0	0



Lemma

Let $M_i \in 0, 1^{i \times m}$ be a submatrix of M. If M is conflict-free then T_i is a perfect phylogeny for M_i .

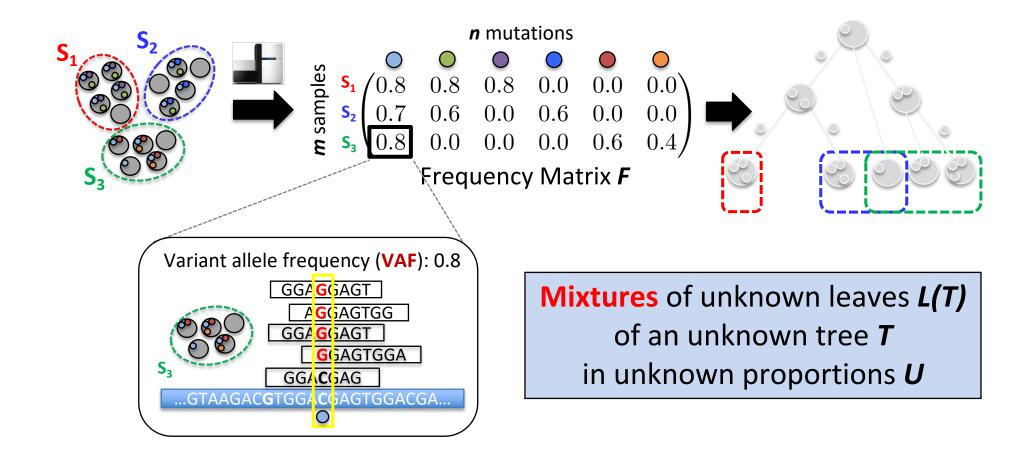
Lecture Outline

- Recap
- Maximum Parsimony
- Two-state Perfect Phylogeny
- Two-state Perfect Phylogeny Mixtures

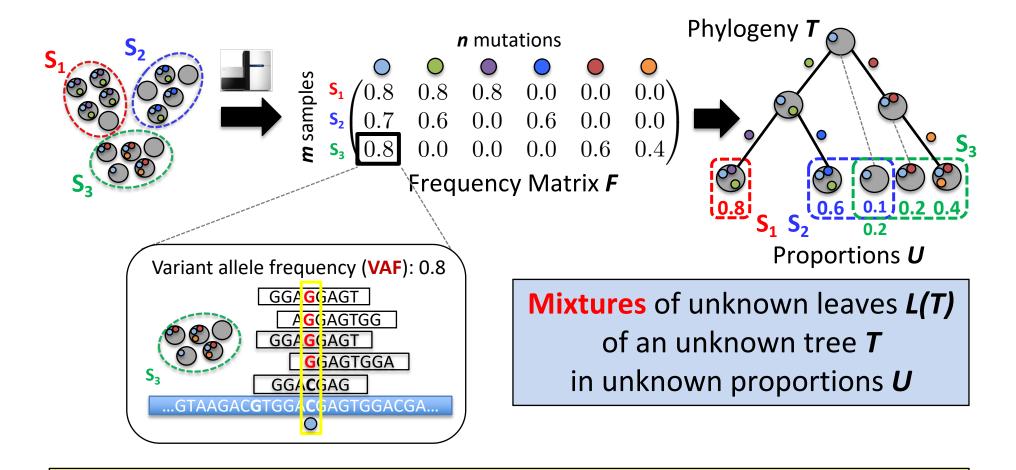
Reading

Lecture notes

Sequencing and Tumor Phylogeny Inference

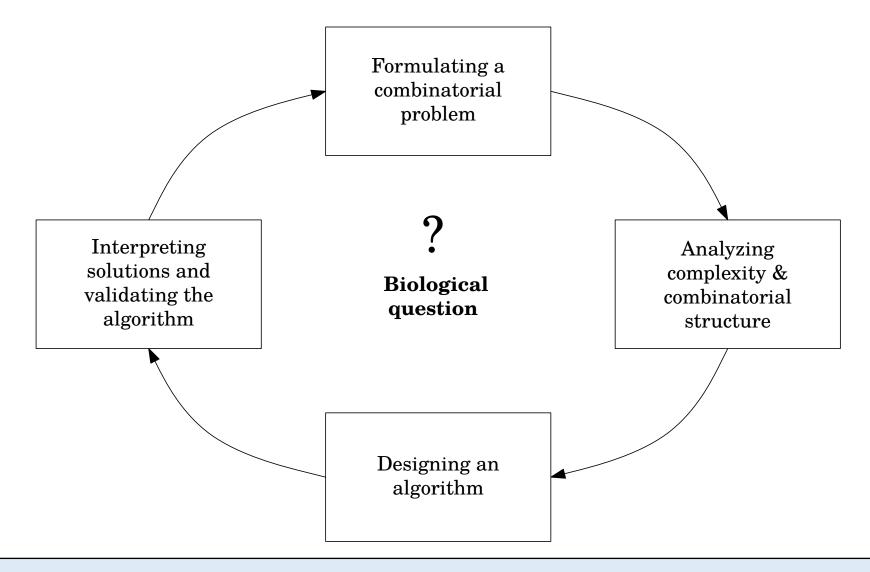


Sequencing and Tumor Phylogeny Inference



Tumor Phylogeny Inference: Given frequencies *F*, find phylogeny *T* and proportions *U*

Key Challenge in Computational Biology

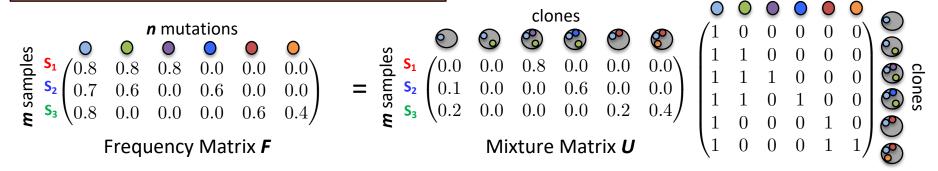


Translating a biological problem into a computational biology

Perfect Phylogeny Mixture

Assumptions:

- Infinite sites assumption:
 a character changes state once
- Error-free data



Restricted PP Matrix **B**

‡ Equivalent

Rows of *U* are proportions:

$$u_{pj} \ge 0$$
 and $\sum_{j} u_{pj} \le 1$

Perfect Phylogeny Theorem [Estabrook, 1971] [Gusfield, 1991]

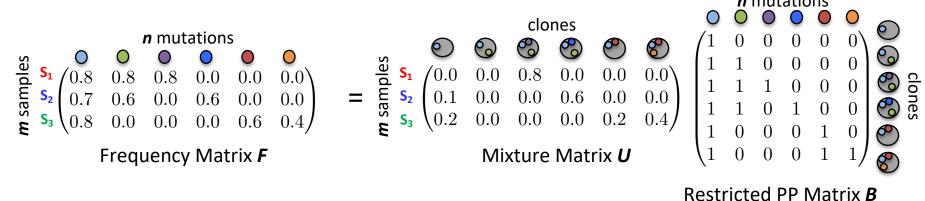
Restricted PP Tree **T**

Perfect Phylogeny Mixture: [El-Kebir*, Oesper* et al., 2015] Given F, find U and B such that F = UB

Previous Work

Variant of PPM:

TrAp [Strino et al., 2013], PhyloSub [Jiao et al., 2014] CITUP [Malikic et al., 2015], BitPhylogeny [Yuan et al., 2015] LICHeE [Popic et al., 2015], ...



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Perfect Phylogeny Theorem [Estabrook, 1971] [Gusfield, 1991]

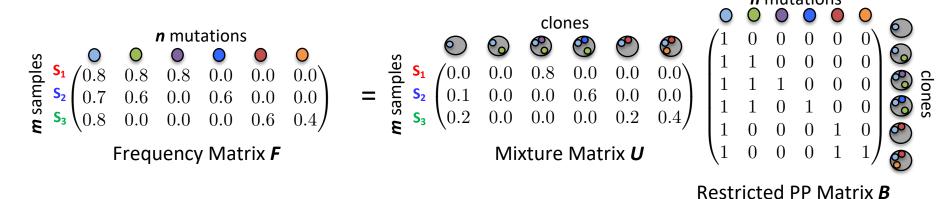
Restricted PP Tree **T**

‡ Equivalent

Perfect Phylogeny Mixture: [El-Kebir*, Oesper* et al., 2015] Given F, find U and B such that F = U B

Combinatorial Characterization

- Frequency $f_{p,i}$ is mass of subtree rooted at node that introduced i
- Usage $u_{p,i}$ is mass of node that introduced i



Restricted PP Tree **T**

Equivalent

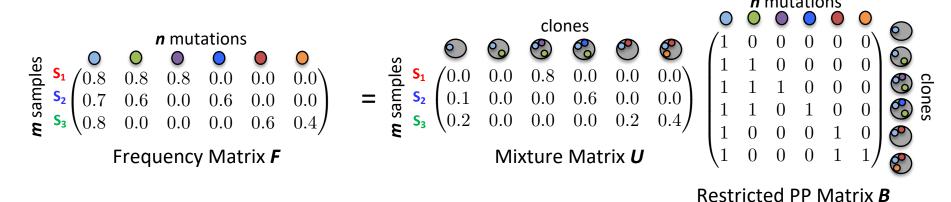
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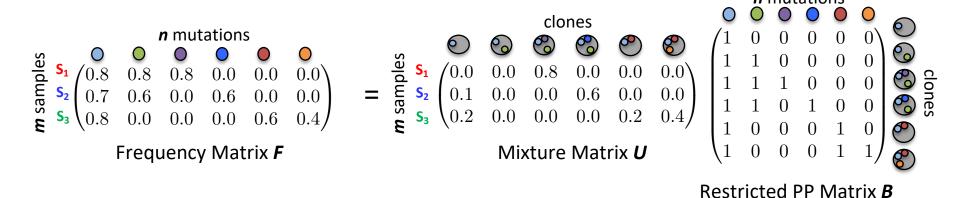
Theorem 1:

T is a solution to the PPM if and only if *T* is a spanning tree of *G* satisfying the sum condition

Perfect Phylogeny Mixture: [El-Kebir*, Oesper* et al., 2015] Given F, find U and B such that F = U B

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Theorem 1:

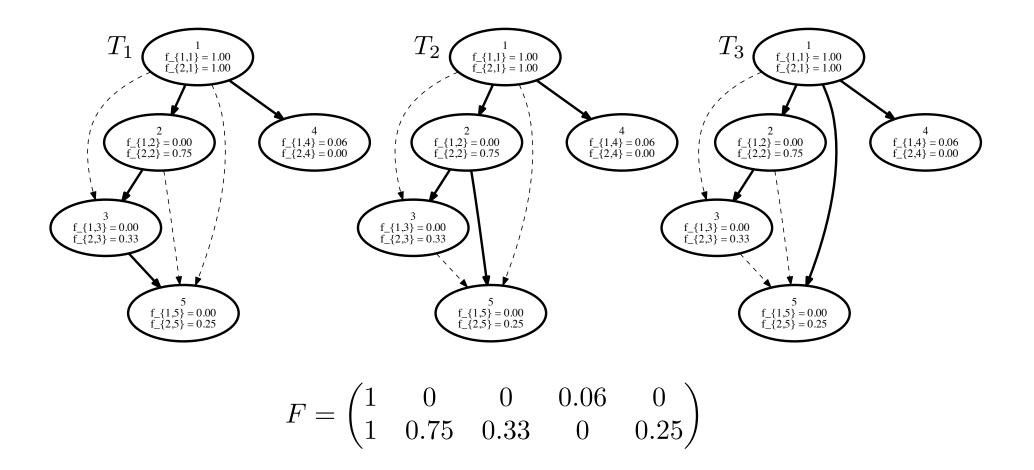
T is a solution to the PPM if and only if *T* is a spanning tree of *G* satisfying the sum condition

Theorem 2:

PPM is NP-complete even for *m*=2

Perfect Phylogeny Mixture: [El-Kebir*, Oesper* et al., 2015] Given F, find U and B such that F = UB

Non-uniqueness of Solutions to PPM



Question 1: Can we determine the number of solutions?

Question 2: Can sample solutions uniformly at random?

Summary of Lectures 1 & 2

- DNA, RNA and proteins are sequences
 - Central dogma of molecular biology: DNA -> RNA -> protein
- Problem != algorithm
- Key challenge in computational biology is translating a biological problem into a computational problem
- Cancer is a genetic disease caused by somatic mutations
- Inter-tumor heterogeneity and intra-tumor heterogeneity:
 - Not only is every tumor different, but so is every tumor cell...
- Reading:
 - "Biology for Computer Scientists" by Lawrence Hunter (http://www.el-kebir.net/teaching/CS466/Hunter_BIO_CS.pdf)