

CS 466

Introduction to Bioinformatics

Lecture 6

Mohammed El-Kebir

September 10, 2021



Course Announcements

Instructor:

- Mohammed El-Kebir (melkebir)
- Office hours: Wednesdays, 3:30-4:30pm

TA:

- Leah Weber (leahlw2), office hours: Mondays, 4-5pm via Zoom or in person 3rd floor Siebel by elevator
- Yuanyuan Qi (yq7), office hours: Fridays, 9-10am via Zoom or in person 3rd floor Siebel by elevator

Homework 1 due 9/16 by 11:59pm

Outline

- Multiple sequence alignment
- Exact algorithm
- Sum-of-pairs (SP) score

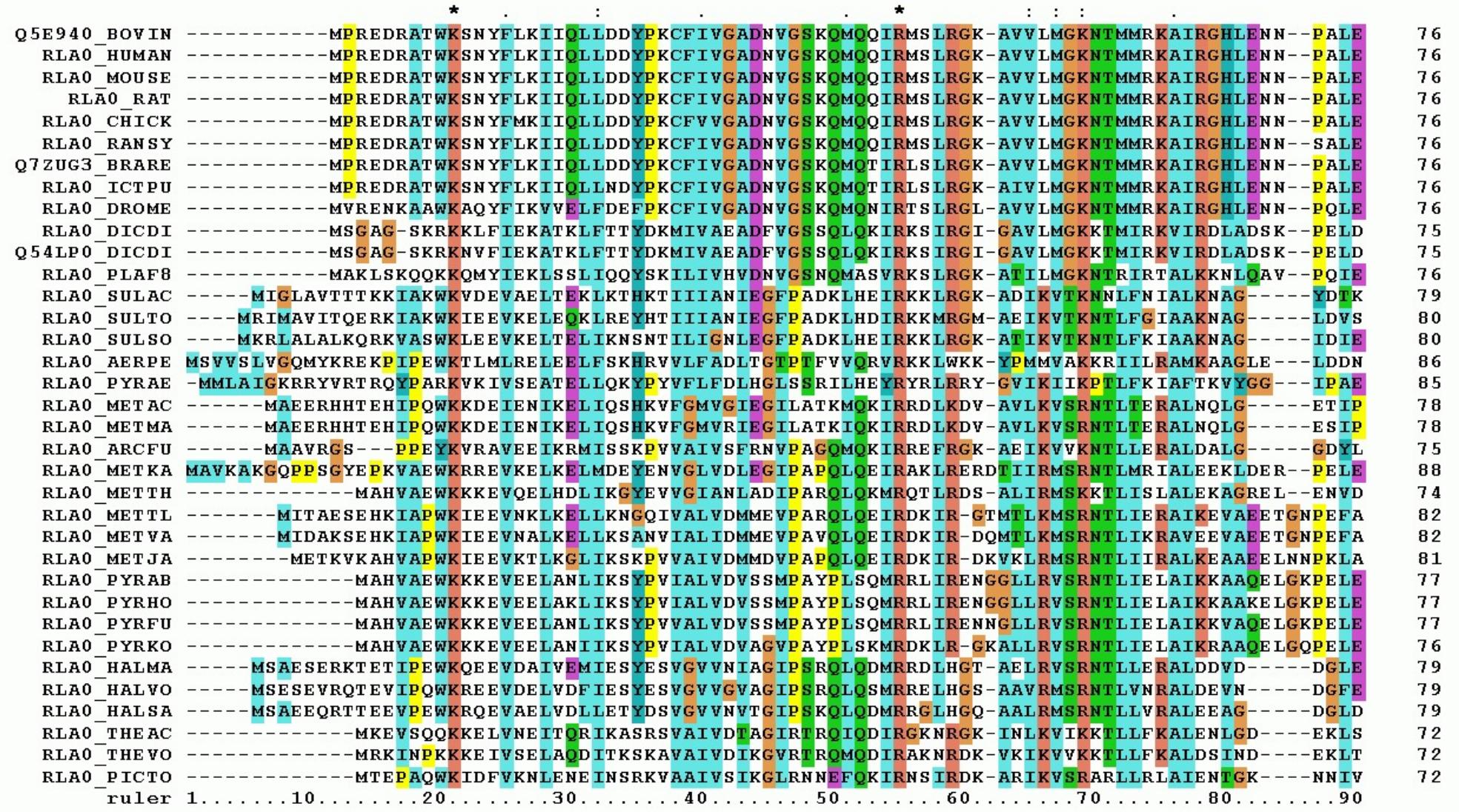
Reading:

- Jones and Pevzner. Chapter 6.10

Motivation



Simultaneous alignment of multiple (> 2) sequences enables inference of subtle similarities that are conserved in more than two species



“Pairwise alignment whispers ... multiple alignment shouts out loud”.

Hubbard, Lesk, Tramontano, Nature Structural Biology 1996.

Multiple Sequence Alignment (MSA)

A **multiple sequence alignment** \mathcal{M} between k strings $\mathbf{v}_1, \dots, \mathbf{v}_k$ is a $k \times q$ matrix, where $q = \{\max\{|\mathbf{v}_i| : i \in [k]\}, \dots, \sum_{i=1}^k |\mathbf{v}_i|\}$ such that the i -th row contains the characters of \mathbf{v}_i in order with spaces ‘-’ interspersed and no column contains k spaces

| | | | | | | | |
|----------------|---|---|---|---|---|---|---|
| \mathbf{v}_1 | A | T | - | G | C | G | - |
| \mathbf{v}_2 | A | - | C | G | T | - | C |
| \mathbf{v}_3 | A | T | C | A | C | - | A |

Question: How to score a multiple sequence alignment?

Scoring a Multiple Sequence Alignment

| | | | | | | | |
|----------------------|---|---|---|---|---|---|---|
| v₁ | A | T | - | G | C | G | - |
| v₂ | A | - | C | G | T | - | C |
| v₃ | A | T | C | A | C | - | A |

Question: How to score a multiple sequence alignment?

Pairwise scoring function:

$$\delta : (\Sigma \cup \{-\}) \times (\Sigma \cup \{-\}) \rightarrow \mathbb{R}$$

Scoring a Multiple Sequence Alignment

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_2 | A | - | C | G | T | - | C |
| v_3 | A | T | C | A | C | - | A |

Question: How to score a multiple sequence alignment?

Pairwise scoring function:

$$\delta : (\Sigma \cup \{-\}) \times (\Sigma \cup \{-\}) \rightarrow \mathbb{R}$$

k -wise scoring function:

$$\delta : (\Sigma \cup \{-\})^k \rightarrow \mathbb{R}$$

Outline

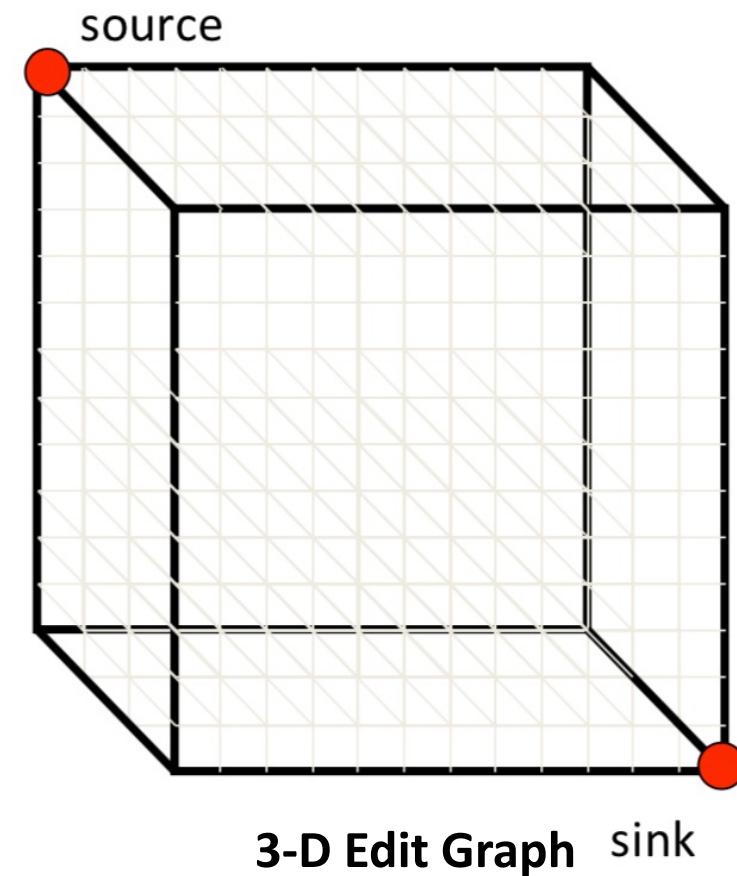
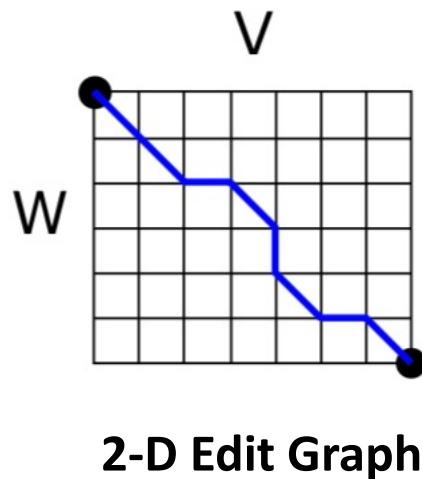
- Multiple sequence alignment
- Exact algorithm
- Sum-of-pairs (SP) score

Reading:

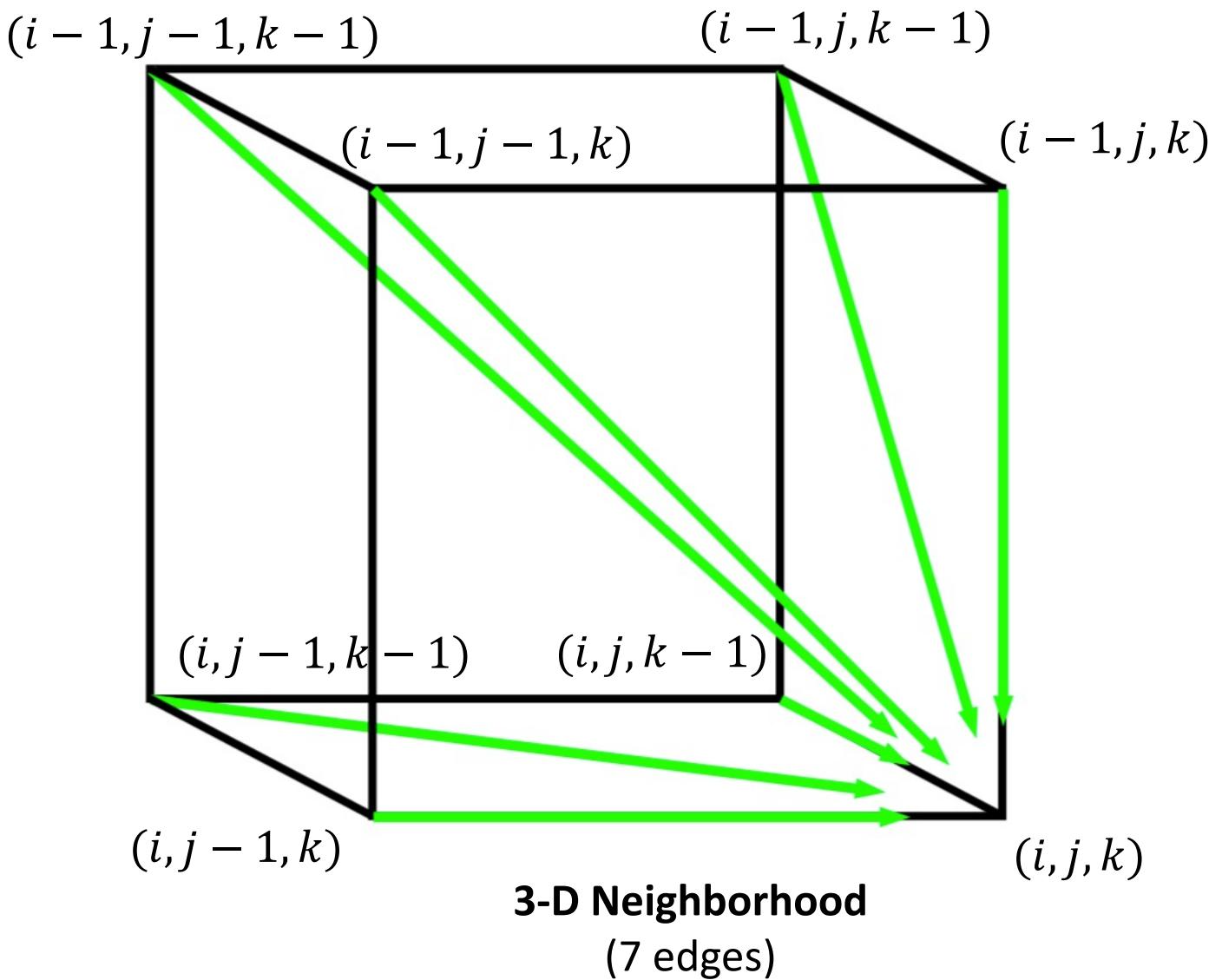
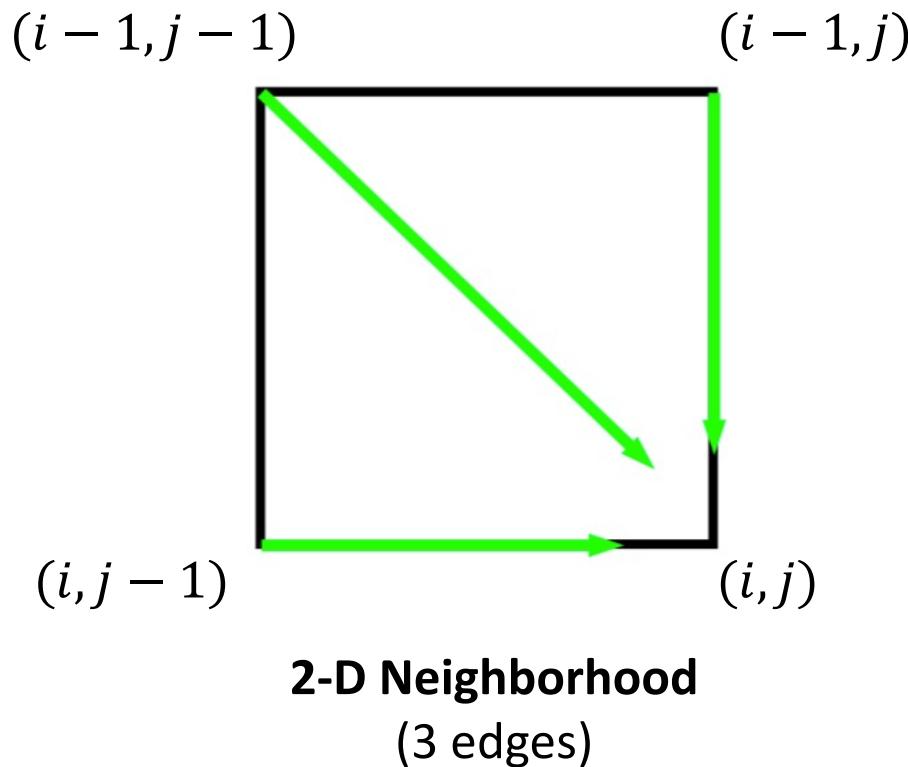
- Jones and Pevzner. Chapter 6.10

Aligning Three Sequences

- Same strategy as pairwise edit distance
- Use 3-D cube, with each axis representing an input sequence
- Alignment is a path from source to sink

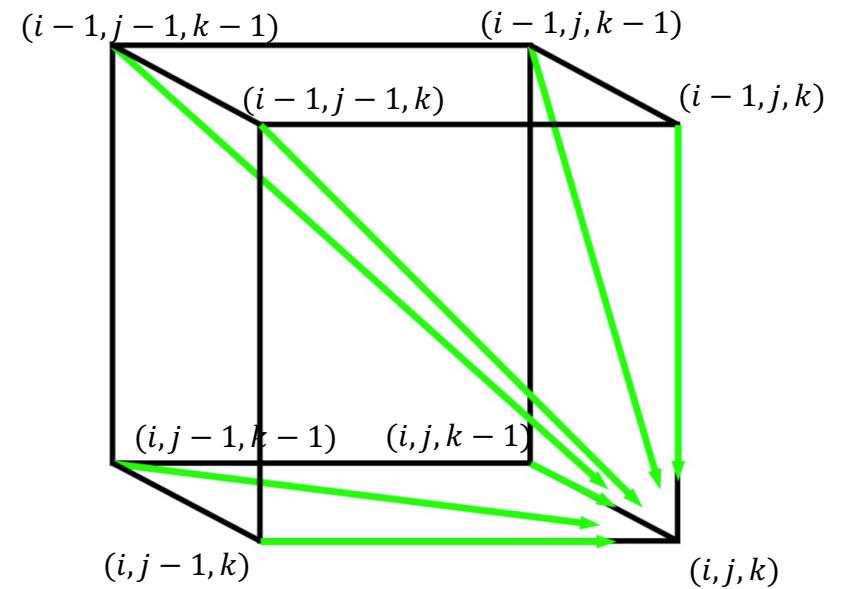


2-D vs 3-D Vertex Neighborhood



3-D Sequence Alignment

$\delta(x, y, z)$ is an entry in 3-D scoring matrix



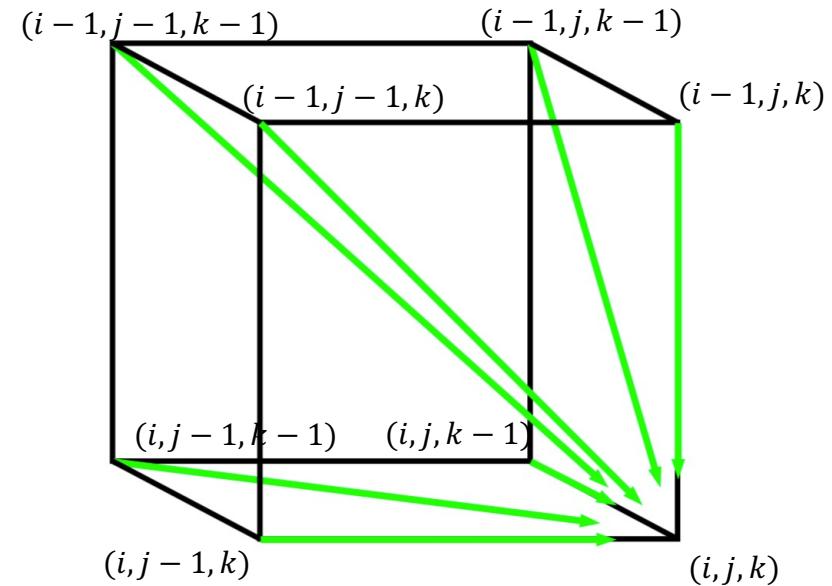
3-D Sequence Alignment

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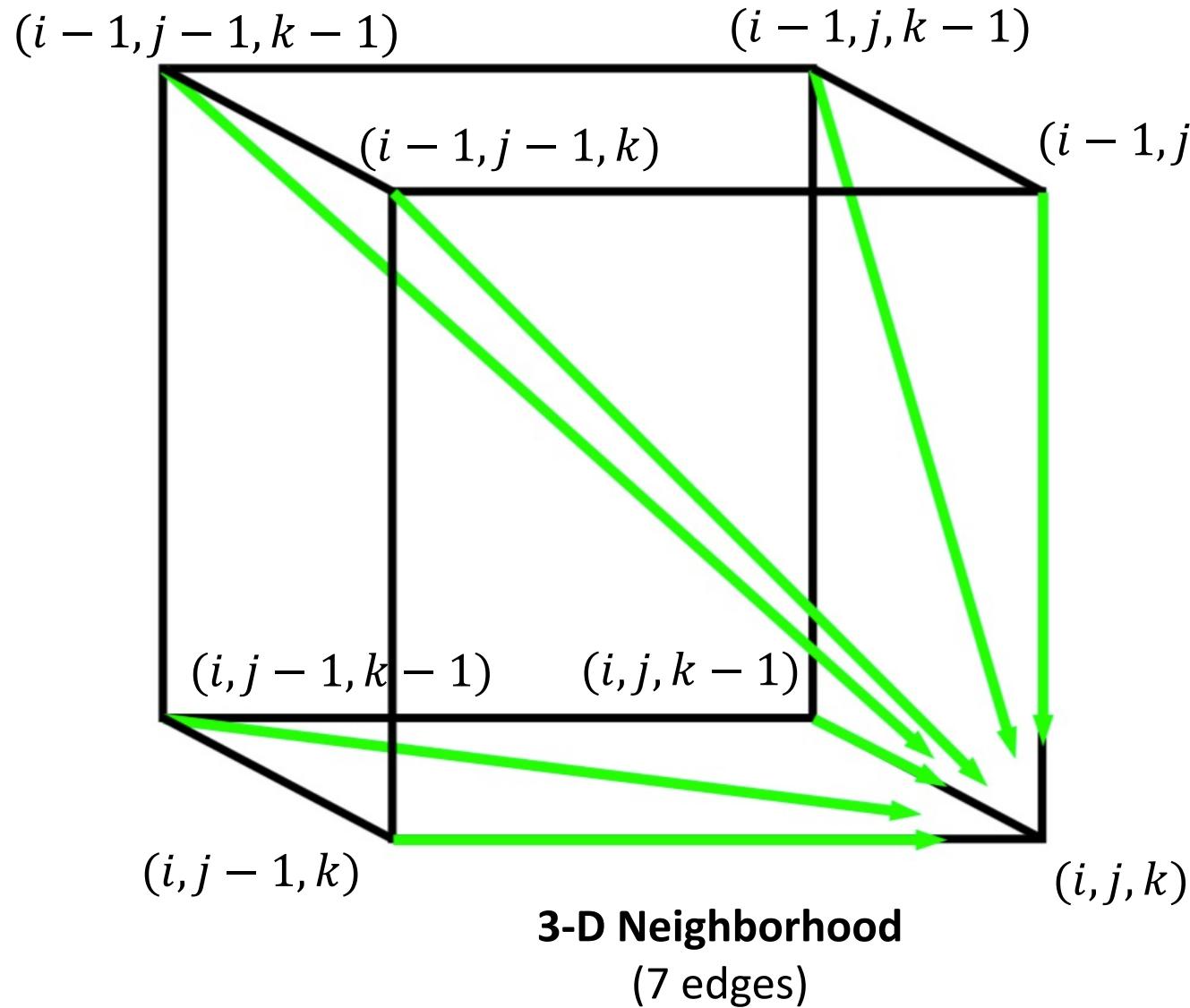
Given three sequences each of length n ,
running time: $O(n^3)$

$$s[i, j, k] = \max \left\{ \begin{array}{l} s[i - 1, j - 1, k - 1] + \delta(v_i, w_j, u_k), \\ s[i - 1, j - 1, k] + \delta(v_i, w_j, -), \\ s[i - 1, j, k - 1] + \delta(v_i, -, u_k), \\ s[i, j - 1, k - 1] + \delta(-, w_j, u_k), \\ s[i - 1, j, k] + \delta(v_i, -, -), \\ s[i, j - 1, k] + \delta(-, w_j, -), \\ s[i, j, k - 1] + \delta(-, -, u_k), \end{array} \right\}$$

no gaps
one gap
two gaps



3-D vs k -D Vertex Neighborhood



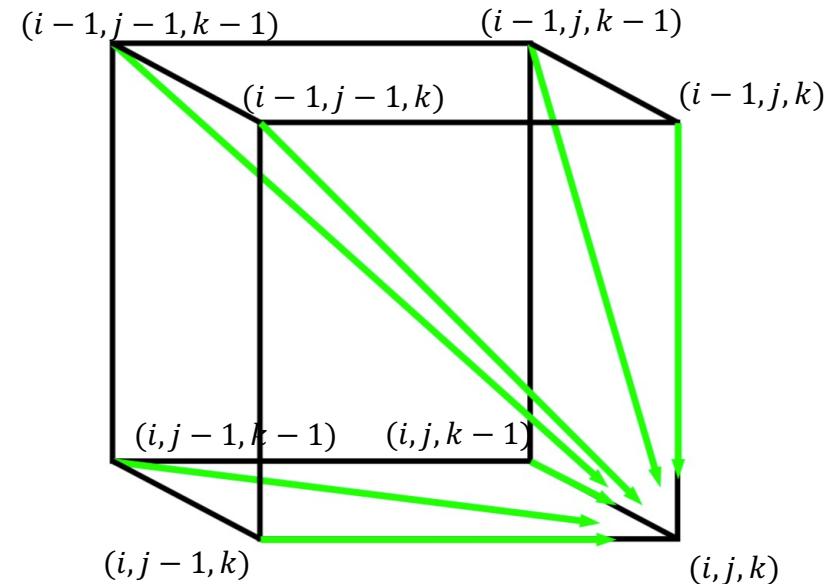
$(i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1)$
 $(i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k)$
...
 $(i_1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1)$
...
 $(i_1 - 1, i_2, \dots, i_{k-1}, i_k)$
...
 $(i_1, i_2, \dots, i_{k-1}, i_k - 1)$

k -D Neighborhood
 $(2^k - 1 \text{ edges})$

k -D Sequence Alignment

$\delta(x_1, \dots, x_k)$ is an entry in k -D scoring matrix

Given k sequences each of length n ,
running time: $O(2^k n^k)$



$$s[i_1, i_2, \dots, i_{k-1}, i_k] = \max \left\{ \begin{array}{l} s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + \delta(\mathbf{v}_1[i_1], \mathbf{v}_2[i_2], \dots, \mathbf{v}_{k-1}[i_{k-1}], \mathbf{v}_k[i_k]) \\ s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k] + \delta(\mathbf{v}_1[i_1], \mathbf{v}_2[i_2], \dots, \mathbf{v}_{k-1}[i_{k-1}], -) \\ \vdots \\ s[i_1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + \delta(-, \mathbf{v}_2[i_2], \dots, \mathbf{v}_{k-1}[i_{k-1}], \mathbf{v}_k[i_k]) \\ \vdots \\ s[i_1 - 1, i_2, \dots, i_{k-1}, i_k] + \delta(\mathbf{v}_1[i_1], -, \dots, -, -) \\ \vdots \\ s[i_1, i_2, \dots, i_{k-1}, i_k - 1] + \delta(-, -, \dots, -, \mathbf{v}_k[i_k]) \end{array} \right\}$$

no gaps
one gap
 $k - 1$ gaps

Multiple Sequence Alignment – Running Time

Given 2 sequences each of length n ,
running time: $O(n^2)$

Given 3 sequences each of length n ,
running time: $O(n^3)$

Given k sequences each of length n ,
running time: $O(2^k n^k)$

Multiple Sequence Alignment – Running Time

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Given 3 sequences each of length n ,
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Given k sequences each of length n ,
running time: $O(2^k n^k)$

Question: Can we align
 k sequences each of
length n in time
 $O(\text{poly}(n))$?

Multiple Sequence Alignment – Running Time

Given 2 sequences each of length n ,
running time: $O(n^2)$

Given 3 sequences each of length n ,
running time: $O(n^3)$

Given k sequences each of length n ,
running time: $O(2^k n^k)$

Question: Can we align
 k sequences each of
length n in time
 $O(\text{poly}(n))$?

Let's look at a wieldier
scoring function

Outline

- Multiple sequence alignment
- Exact algorithm
- Sum-of-pairs (SP) score

Reading:

- Jones and Pevzner. Chapter 6.10

Multiple Alignment Induces Pairwise Alignments

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_2 | A | - | C | G | T | - | C |
| v_3 | A | T | C | A | C | - | A |

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_2 | A | - | C | G | T | - | C |

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_3 | A | T | C | A | C | - | A |

| | | | | | | | |
|-------|---|---|---|---|---|---|--|
| v_2 | A | - | C | G | T | C | |
| v_3 | A | T | C | A | C | A | |

Resulting columns with -/- are removed

Sum-of-Pairs (SP) Score

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_2 | A | - | C | G | T | - | C |
| v_3 | A | T | C | A | C | - | A |

$S(v_i, v_j)$ is score of induced pairwise alignment of sequences (v_i, v_j)

Multiple sequence alignment \mathcal{M}

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_2 | A | - | C | G | T | - | C |

| | | | | | | | |
|-------|---|---|---|---|---|---|---|
| v_1 | A | T | - | G | C | G | - |
| v_3 | A | T | C | A | C | - | A |

| | | | | | | |
|-------|---|---|---|---|---|---|
| v_2 | A | - | C | G | T | C |
| v_3 | A | T | C | A | C | A |

$$\text{SP-score}(\mathcal{M}) = \sum_{i=1}^k \sum_{j=i+1}^k S(v_i, v_j)$$

Sum-of-Pairs (SP) Score – Example

| | | | | | |
|-------|---|---|---|---|---|
| v_1 | A | T | G | - | C |
| v_2 | A | - | G | - | C |
| v_3 | A | T | C | C | C |

Match score: 3
Mismatch score: 1
Gap score: -1

Multiple sequence alignment \mathcal{M}

Question: Calculate

$$\text{SP-score}(\mathcal{M}) =$$

$$\sum_{i=1}^k \sum_{j=i+1}^k S(v_i, v_j)$$

Sum-of-Pairs (SP) Score – Example

| | | | | | |
|-------|---|---|---|---|---|
| v_1 | A | T | G | - | C |
| v_2 | A | - | G | - | C |
| v_3 | A | T | C | C | C |

Multiple sequence alignment \mathcal{M}

Match score: 3
Mismatch score: 1
Gap score: -1

Question: Calculate
 $SP\text{-score}(\mathcal{M}) =$
 $\sum_{i=1}^k \sum_{j=i+1}^k S(v_i, v_j)$

We can sum over scores for
the columns, ignoring -/-

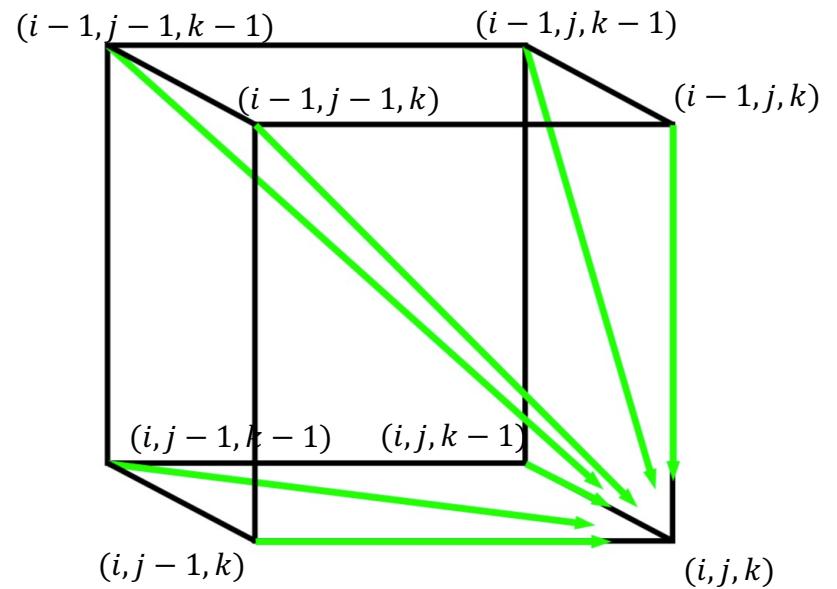
Multiple Sequence Alignment Problem w/ SP-Score

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MSA-SP problem: Given strings $\mathbf{v}_1, \dots, \mathbf{v}_k$ find multiple sequence alignment \mathcal{M}^* with **minimum** value of SP-score(\mathcal{M}^*) = $\sum_{i=1}^k \sum_{j=i+1}^k S(\mathbf{v}_i, \mathbf{v}_j)$ where $S(\mathbf{v}_i, \mathbf{v}_j)$ is the score of the induced pairwise alignment of $(\mathbf{v}_i, \mathbf{v}_j)$ in \mathcal{M}^*

3-D MSA-SP

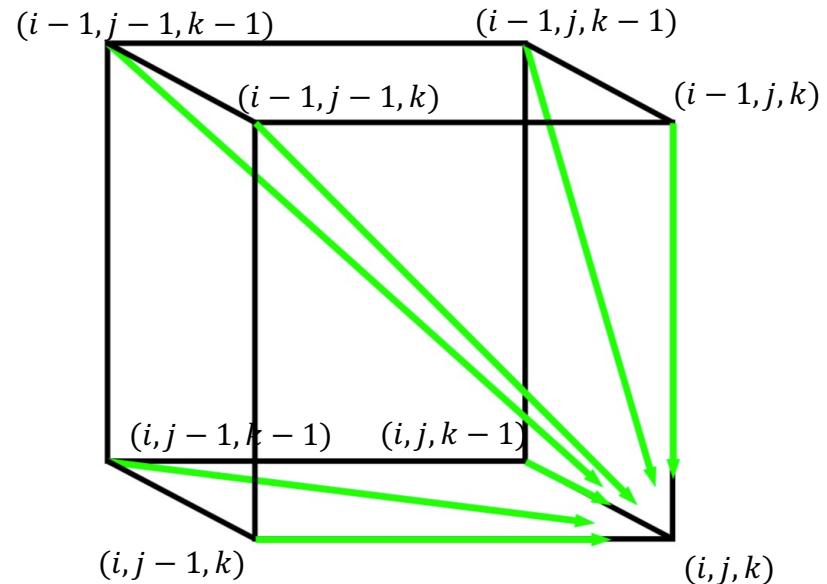
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3-D MSA-SP

$\delta(x, y, z)$ is an entry in 3-D scoring matrix

Given three sequences each of length n ,
running time: $O(n^3)$



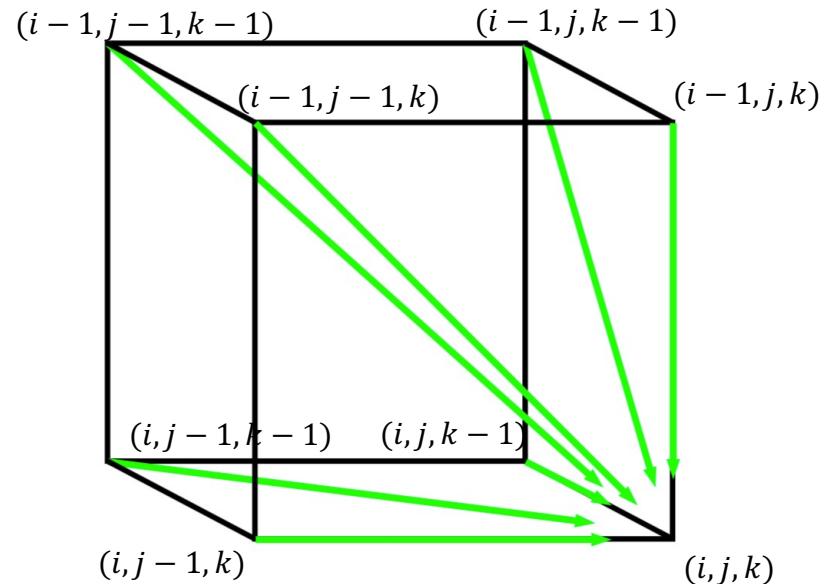
$$d[i_1, i_2, i_3] = \min \left\{ \begin{array}{l} d[i_1 - 1, i_2 - 1, i_3 - 1] + \delta(\mathbf{v}_1[i_1], \mathbf{v}_2[i_2]) + \delta(\mathbf{v}_1[i_1], \mathbf{v}_3[i_3]) + \delta(\mathbf{v}_2[i_2], \mathbf{v}_3[i_3]) \\ d[i_1 - 1, i_2 - 1, i_3] + \delta(\mathbf{v}_1[i_1], \mathbf{v}_2[i_2]) + 2\sigma \\ d[i_1 - 1, i_2, i_3 - 1] + \delta(\mathbf{v}_1[i_1], \mathbf{v}_3[i_3]) + 2\sigma \\ d[i_1, i_2 - 1, i_3 - 1] + \delta(\mathbf{v}_2[i_2], \mathbf{v}_3[i_3]) + 2\sigma \\ d[i_1 - 1, i_2, i_3] + 2\sigma \\ d[i_1, i_2 - 1, i_3] + 2\sigma \\ d[i_1, i_2, i_3 - 1] + 2\sigma \end{array} \right\}$$

} no gaps } one gap } two gaps

k -D MSA-SP

Computing SP-score in each case: $O(k^2)$ time

Given k sequences each of length n ,
running time: $O(k^2 2^k n^k)$



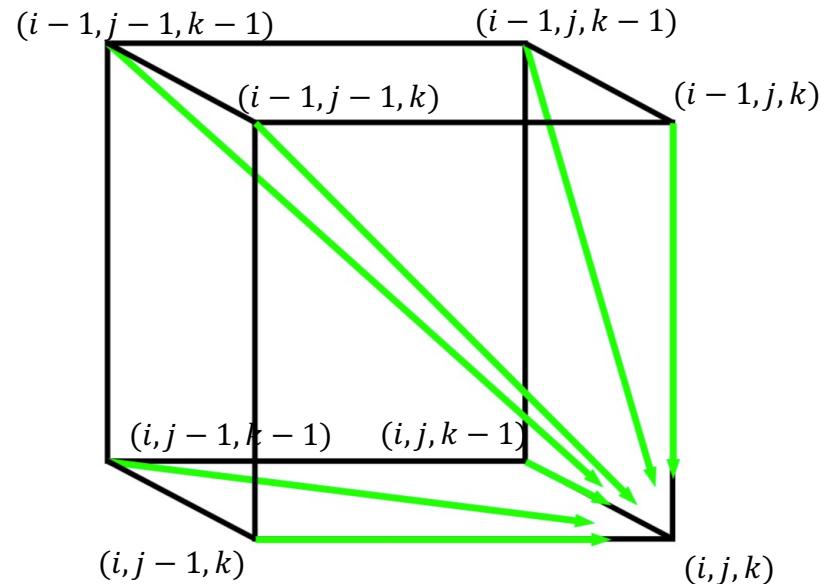
$$d[i_1, i_2, \dots, i_{k-1}, i_k] = \min \left\{ \begin{array}{l} s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + \sum_{p=1}^k \sum_{q=p+1}^k \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k] + (k-1)\sigma + \sum_{p=1}^{k-1} \sum_{q=p+1}^{k-1} \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ \vdots \\ s[i_1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + (k-1)\sigma + \sum_{p=2}^k \sum_{q=p+1}^k \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ \vdots \\ s[i_1 - 1, i_2, \dots, i_{k-1}, i_k] + (k-1)\sigma \\ \vdots \\ s[i_1, i_2, \dots, i_{k-1}, i_k - 1] + (k-1)\sigma \end{array} \right\}$$

} **no gaps**
} **one gap**
} **$k-1$ gaps**

k -D MSA-SP

Computing SP-score in each case: $O(k^2)$ time

Given k sequences each of length n ,
running time: $O(k^2 2^k n^k)$



$$d[i_1, i_2, \dots, i_{k-1}, i_k] = \min \left\{ \begin{array}{l} s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + \sum_{p=1}^k \sum_{q=p+1}^k \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ s[i_1 - 1, i_2 - 1, \dots, i_{k-1} - 1, i_k] + (k-1)\sigma + \sum_{p=1}^{k-1} \sum_{q=p+1}^{k-1} \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ \vdots \\ s[i_1, i_2 - 1, \dots, i_{k-1} - 1, i_k - 1] + (k-1)\sigma + \sum_{p=2}^k \sum_{q=p+1}^k \delta(\mathbf{v_p}[i_p], \mathbf{v_q}[i_q]) \\ \vdots \\ s[i_1 - 1, i_2, \dots, i_{k-1}, i_k] + (k-1)\sigma \\ \vdots \\ s[i_1, i_2, \dots, i_{k-1}, i_k - 1] + (k-1)\sigma \end{array} \right\}$$

} no gaps
} one gap
} $k-1$ gaps

Question: How many cases have 2 gaps?

Multiple Sequence Alignment Problem w/ SP-Score

MSA-SP problem: Given strings $\mathbf{v}_1, \dots, \mathbf{v}_k$ find multiple sequence alignment \mathcal{M}^* with **minimum** value of

$\text{SP-score}(\mathcal{M}^*) = \sum_{i=1}^k \sum_{j=i+1}^k S(\mathbf{v}_i, \mathbf{v}_j)$ where $S(\mathbf{v}_i, \mathbf{v}_j)$ is the score of the induced pairwise alignment of $(\mathbf{v}_i, \mathbf{v}_j)$ in \mathcal{M}^*

Question: Can we align k sequences each of length n in time $O(\text{poly}(n))$?

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Question: Can we align k sequences each of length n in time $O(\text{poly}(n))$?

No, MSA-SP is NP-hard.

[WANG, L., & JIANG, T. (1994). On the Complexity of Multiple Sequence Alignment. *Journal of Computational Biology*, 1(4), 337–348. <http://doi.org/10.1089/cmb.1994.1.337>]

Inverse Problem: From Pairwise to Multiple Alignment

| | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|
| v_1 | A | C | G | C | T | G | G | - | C |
| v_2 | A | C | G | C | - | - | G | A | G |

| | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|---|
| v_1 | A | C | - | G | C | T | G | G | - | C |
| v_3 | G | C | C | G | C | A | - | G | A | G |

| | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|---|
| v_2 | A | C | - | G | C | - | G | A | G | |
| v_3 | G | C | C | G | C | A | - | G | A | G |

Question: Can we construct a multiple alignment that induces the above three pairwise alignments?

Inverse Problem: From Pairwise to Multiple Alignment

| | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|
| v_1 | A | C | G | C | T | G | G | - | C |
| v_2 | A | C | G | C | - | - | G | A | G |

| | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|---|
| v_1 | A | C | - | G | C | T | G | G | - | C |
| v_3 | G | C | C | G | C | A | - | G | A | G |

| | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|---|
| v_2 | A | C | - | G | C | - | G | A | G |
| v_3 | G | C | C | G | C | A | G | A | G |

Question: Can we construct a multiple alignment that induces the above three pairwise alignments?

Not always!

Compatibility

Compatible: Pairwise alignments can be combined into multiple alignment



(a) Compatible pairwise alignments

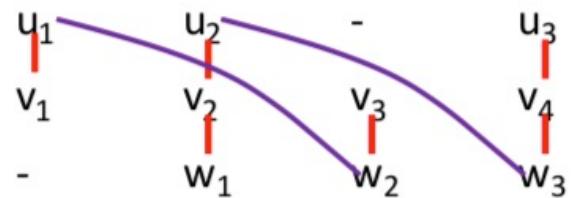
Incompatible: Pairwise alignments *cannot* be combined into multiple alignment



(b) Incompatible pairwise alignments

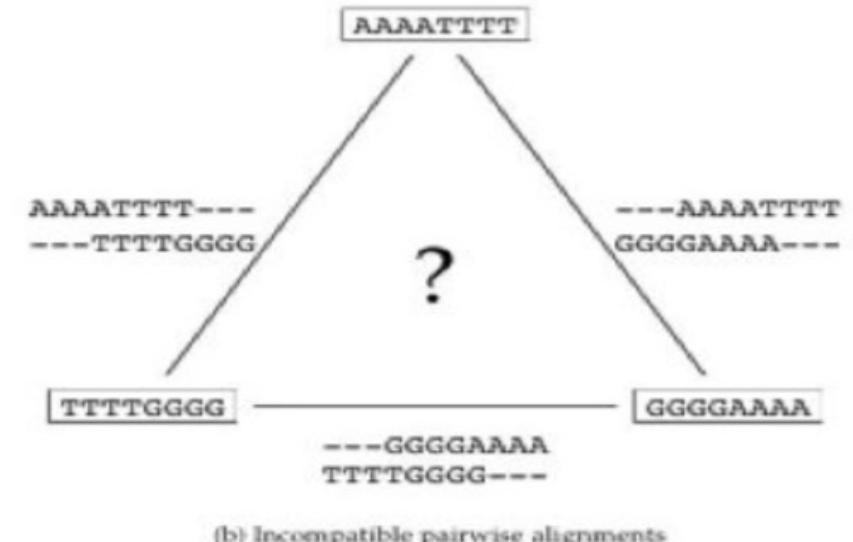
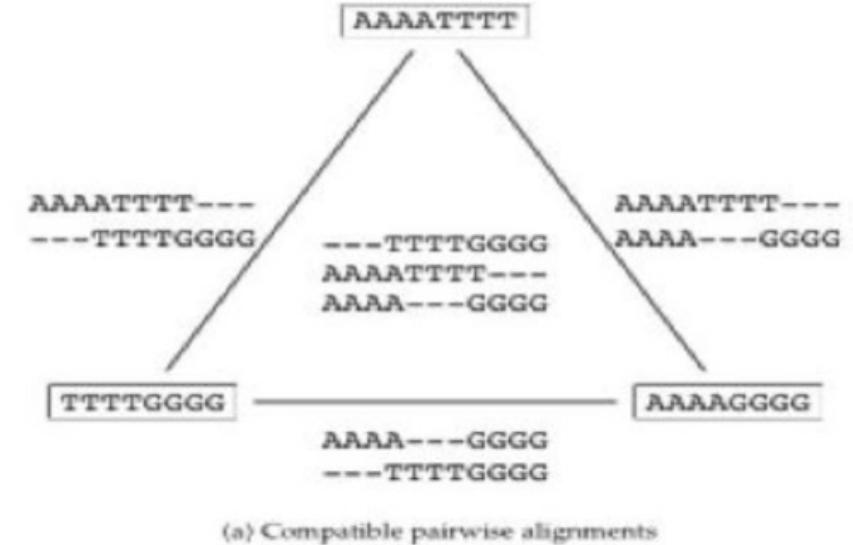
Compatibility

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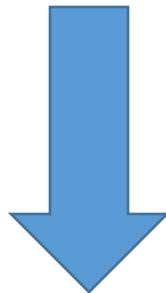
— Indicate incompatible pairwise alignment

Incompatible: Pairwise alignments *cannot* be combined into multiple alignment



From Compatible Pairwise to Multiple Alignment

Optimal multiple alignment



Easy

Pairwise alignments between *all* pairs of sequences, but they are *not* necessarily optimal

(Sub)optimal multiple alignment



Challenging

Good (or optimal) *compatible* pairwise alignments between all sequences

Summary

- Multiple sequence alignment
- Exact algorithm
- Sum-of-pairs (SP) score

Reading:

- Jones and Pevzner. Chapter 6.10