

Reflections | Projections 2020

Largest student run tech conference in the midwest, taking place September 21 through September 26
Virtual for the first time in 2020; featuring 20+ speakers and 5+ companies

Opportunity to hear about the bleeding edge on topics including

- Computer science for clinical methods (Tuesday @ 5)
- Ethical artificial intelligence (Wednesday @ 4, Friday @ 7)
- How to be successful in a startup (Monday @ 7, Wednesday @ 5)
- The essentials of product management (Thursday @ 5)
- Protecting privacy at a global scale (Friday @ 4)
- How to build accessible applications (Friday @ 6)
- And more! Check out our social media or website for more details

Network with top companies like Goldman Sachs, Grainger, IMC, Aechelon
Participate in a beginner-friendly, team-based AI competition with prizes
Swag pickup for attendees on campus
Opportunity to win free food!

Why wait?
Register today
at
acmrp.org





Reflections | Projections



Mark Ciaccio

Senior Data Scientist @ AbbVie

Tuesday, September 22 from 6-7PM

reflectionsprojections.org

CS 466

Introduction to Bioinformatics

Lecture 8

Mohammed El-Kebir

September 18, 2020



Course Announcements

Instructor:

- Mohammed El-Kebir (melkebir)
- Office hours: Wednesdays, 3:15-4:15pm

TAs:

- Sarah Christensen (sac2) – Mondays, 3-4pm
- Wesley Wei Qian (weiqian3) – Fridays, 9-10am

Homework 2 released on 9/23 and due 10/1 by 11:59pm (CT)

Midterm will be 10/7, 7-10pm (CT)
Conflict midterm will be 10/8, 8-11am (CT).
You will need to sign up for conflict on Piazza.

Outline

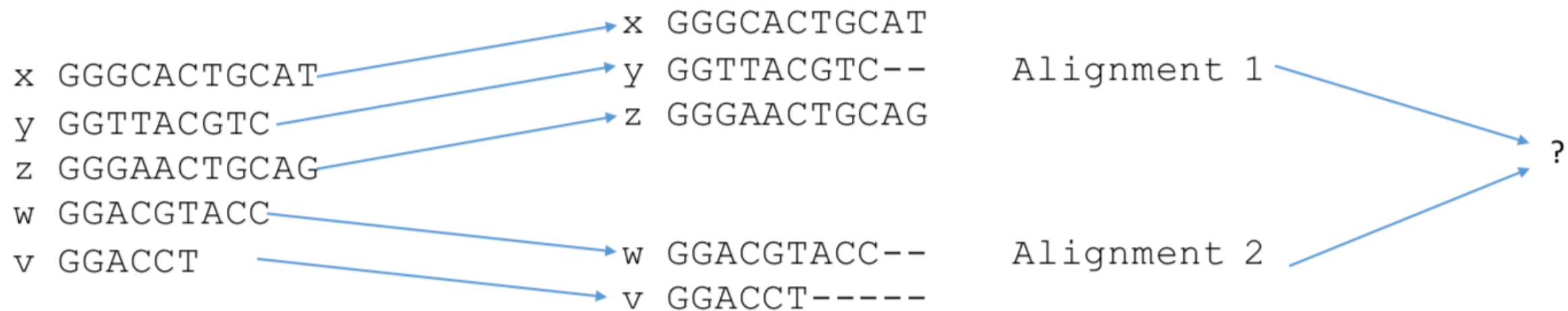
- Progressive alignment
 - Current methods
 - Tree and star alignment
- heuristic → no guarantee on opt., or how bad.*
- approximation algs.*

Reading:

- Material based on Chapter 14.6 in book “Algorithms on Strings, Trees and Sequences” by Dan Gusfield
- Lecture notes

Heuristic: Iterative/Progressive Alignment

Iteratively add strings (or alignments) to existing alignment(s).



Issues:

1. How to merge alignments?
2. What order to use in merging strings/alignments?

Profile Representation of Multiple Alignment

loss of info

	-	A	G	G	C	T	A	T	C	A	C	C	T	G
	T	A	G	-	C	T	A	C	C	A	-	-	-	G
	C	A	G	-	C	T	A	C	C	A	-	-	-	G
	C	A	G	-	C	T	A	T	C	A	C	-	G	G
	C	A	G	-	C	T	A	T	C	G	C	-	G	G
A		1					1			.8				
C	.6				1			.4	1		.6	.2		
G			1	.2						.2			.4	1
T	.2					1	.6						.2	
-	.2			.8							.4	.8	.4	

A **profile** $P = [p_{i,j}]$ is a $(|\Sigma| + 1) \times l$ matrix, where $p_{i,j}$ is the frequency of i -th letter in j -th position of alignment

Aligning String to Profile

$$\tau(x, j) = \sum_{y \in \Sigma \cup \{-\}} p_{y,j} \cdot \delta(x, y)$$

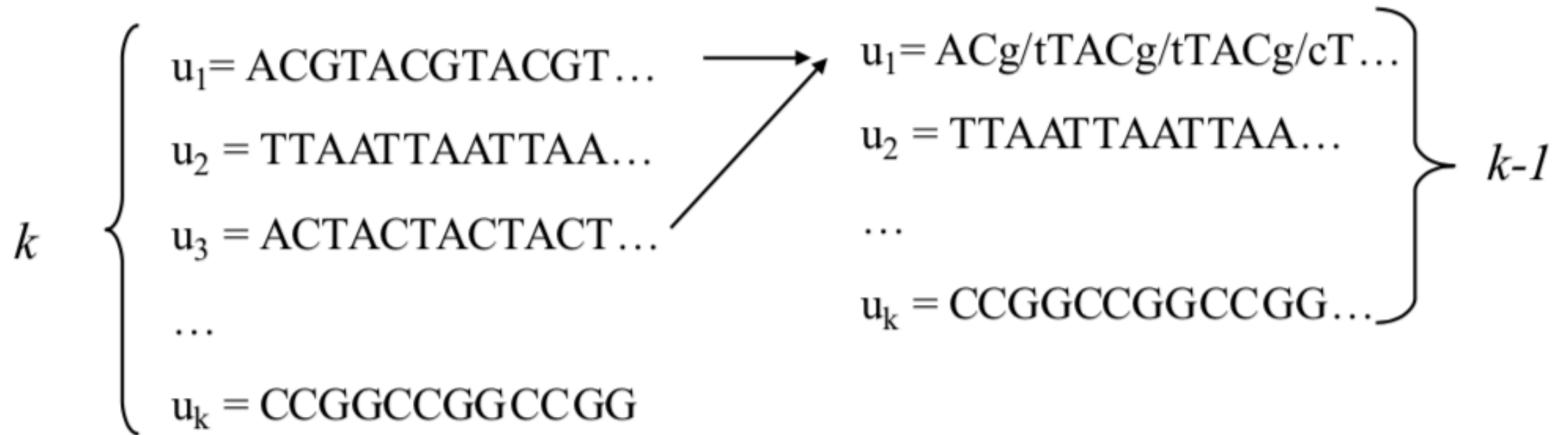
profile
'weighted average'

$$s[i, j] = \max \begin{cases} 0, & \text{if } i = 0 \text{ and } j = 0, \\ \underline{s[i-1, j]} + \delta(v_i, -), & \text{if } i > 0, \text{ Insert space in profile } \\ s[i, j-1] + \tau(-, j), & \text{if } j > 0, \text{ Insert space in string } \\ s[i-1, j-1] + \tau(v_i, j), & \text{if } i > 0 \text{ and } j > 0. \end{cases}$$

- $s[i, j]$ is optimal alignment of v_1, \dots, v_i and first j columns of P
- $\delta(x, y)$ is score for aligning characters x and y
- $\tau(x, j)$ is score for aligning character x and column j of P

Progressive Multiple Alignment: Greedy Algorithm

Choose most similar pair among k input strings, combine into a profile. This reduces the original problem to alignment of $k-1$ sequences to a profile. Repeat.



Outline

- Progressive alignment
 - Current methods
- Tree and star alignment

Reading:

- Material based on Chapter 14.6 in book “Algorithms on Strings, Trees and Sequences” by Dan Gusfield
- Lecture notes

Progressive Alignment – Feng and Doolittle (1987)

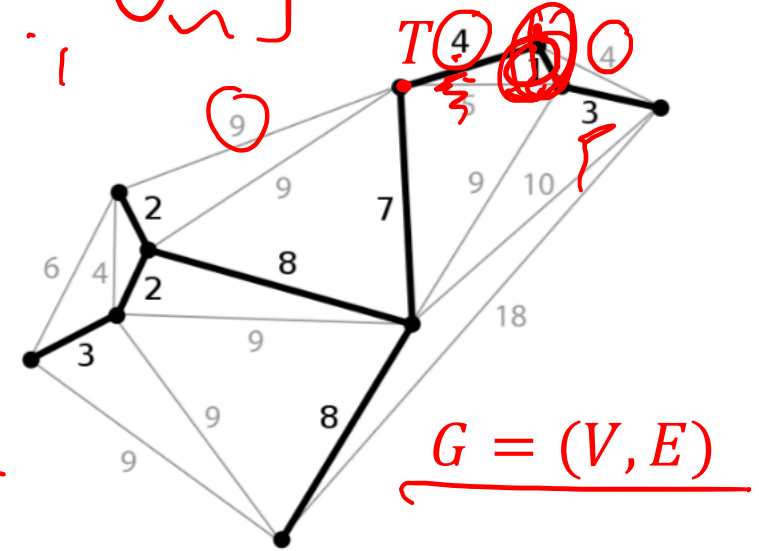
1. Compute pairwise sequence alignments of n sequences

2. Generate complete graph $G = (V, E)$ with edge weights w :
 $E \rightarrow \mathbb{R}$

3. Compute a (rooted) minimum spanning tree T of G

4. Perform sequence-sequence, sequence-alignment and alignment-alignment to construct MSA according to guide tree T (from most similar to least similar)

$V = \{T_1, \dots, T_n\}$



Minimum spanning tree is a tree T spanning all vertices of G with minimum total weight

'Once a gap, always a gap'

Progressive Alignment – ClustalW (1994)

weighted

- Widely used alignment method by Thompson, Higgins and Gibson (1994)
- W stands for weighted:
 - Input sequences are weighted to compensate for biased representation
 - Different substitution matrices depending on expected similarity in guide tree (BLOSUM80 for closely related sequences, and BLOSUM50 for distant sequences)
 - Position-specific gap-open and gap-extend penalties depending on context (hydrophobic vs. hydrophilic)

affine gap penalties

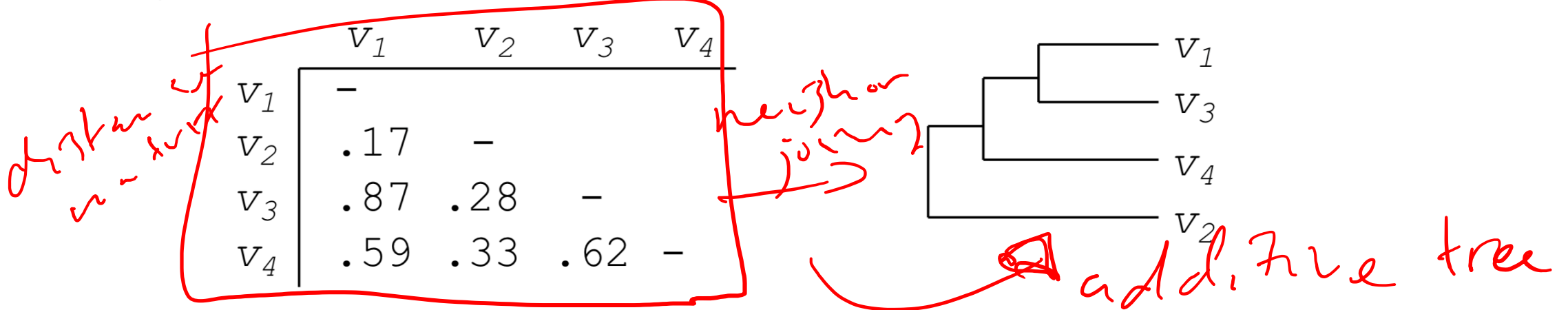
Three steps:

1. Construct pairwise alignments
2. Build guide tree T using neighbor joining*
3. Progressive profile alignment guided by T

ClustalW – Step 2: Guide Tree

Create Guide Tree using the similarity matrix

("cluster" distances. Details to come...)



ClustalW uses the neighbor-joining method

Guide tree roughly reflects evolutionary relationships


calculate:

$$\begin{aligned} V_{1,3} &= \text{alignment}(V_1, V_3) \\ V_{1,3,4} &= \text{alignment}((V_{1,3}), V_4) \\ V_{1,2,3,4} &= \text{alignment}((V_{1,3,4}), V_2) \end{aligned}$$

ClustalW – Step 3: Progressive Alignment

- Start by aligning the two most similar sequences
- Following the guide tree, add in the next sequences, aligning to the existing alignment
- Insert gaps as necessary

```
FOS_RAT      PEEMSVTS-LDLTGGLPEATTPESEEAF TLPLLNDPEPK-PSLEPVKNI SNMELKAEPFD
FOS_MOUSE    PEEMSVAS-LDLTGGLPEASTPESEEAF TLPLLNDPEPK-PSLEPVKSI SNVELKAEPFD
FOS_CHICK     SEELAAATALDLG----APSPAAAEAFALPLMTEAPPAVPPKEPSG--SGLELKAEPFD
FOSB_MOUSE    PGPGPLAEVRDLPG-----STSAKEDGFGWLLPPPPPPP-----LPPFQ
FOSB_HUMAN    PGPGPLAEVRDLPG-----SAPAKEDGFSWLLPPPPPPP-----LPPFQ
.             . : ** . :... * : . * * . * ** :
```



Dots and stars show how well-conserved a column is.

MUSCLE (Edgar, 2004)

lowe & g-r, chas & sup

Multiple Sequence Comparison by Log-Expectation

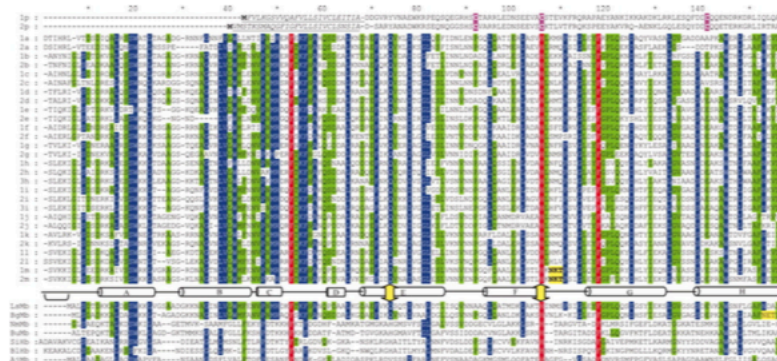
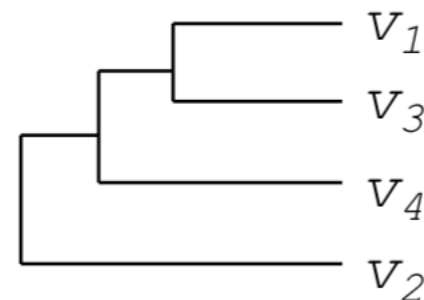
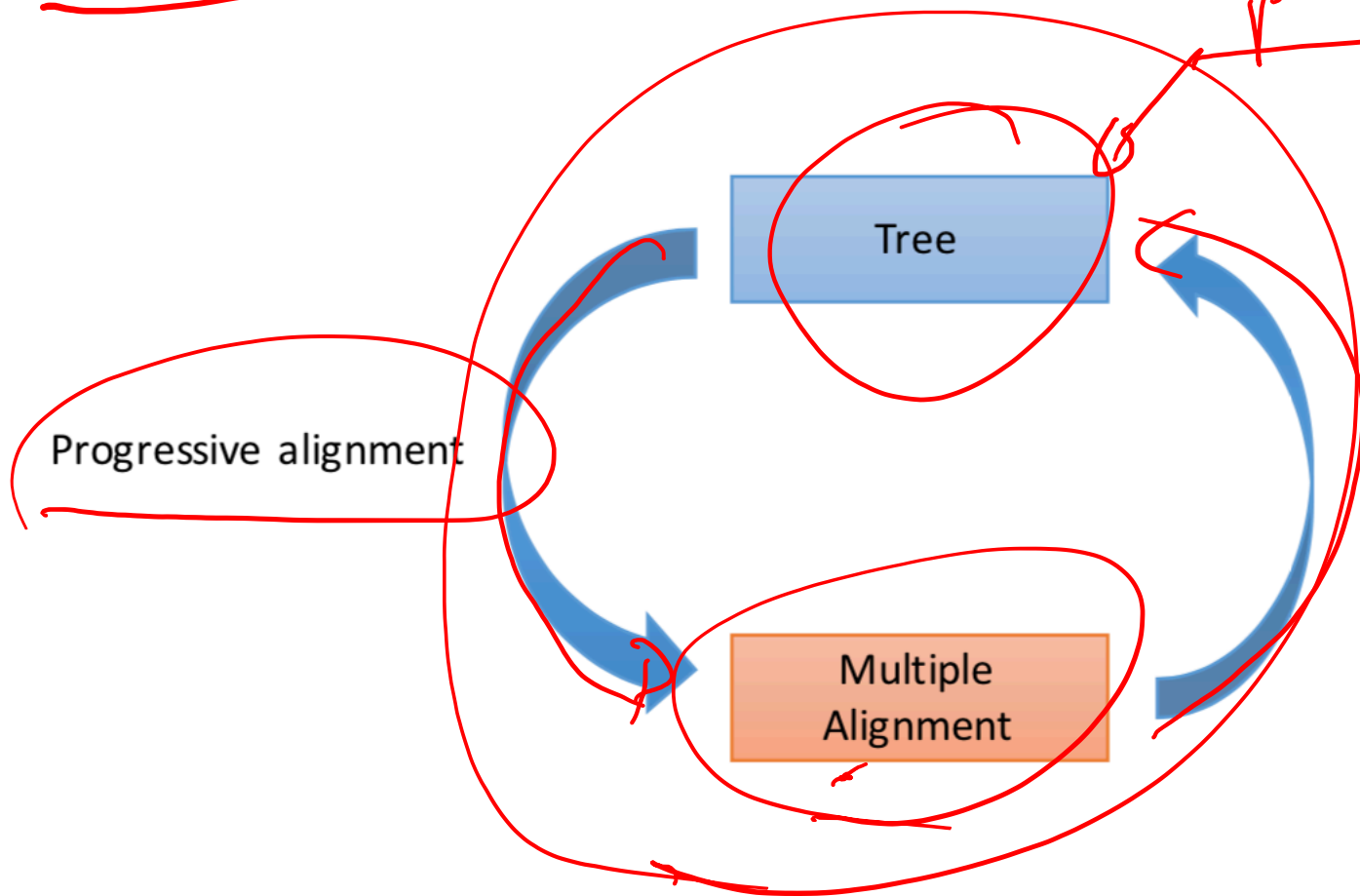
Three phases:

1. Draft progressive alignment: fast heuristic
2. Improved progressive: use tree derived in phase 1
3. Refinement of MSA
 - Remove sequence from MSA and realign to profile of remaining sequences
 - Repeat until convergence

The screenshot shows the EMBL-EBI MUSCLE web interface. At the top, there's a navigation bar with 'Services', 'Research', 'Training', and 'About us'. Below this is a teal header with the 'MUSCLE' logo. A secondary navigation bar includes 'Input form', 'Web services', 'Help & Documentation', 'Share', and 'Feedback'. The main content area is titled 'Multiple Sequence Alignment' and explains that MUSCLE stands for Multiple Sequence Comparison by Log-Expectation. It claims better accuracy and speed than ClustalW2 or T-Coffee. The interface is currently at 'STEP 1 - Enter your input sequences', featuring a large text box for pasting sequences and a file upload option at the bottom.

Progressive MSA

phy to genetic tree



Circularity!

Ideally, want to derive alignment and tree simultaneously → Hard

Outline

- Progressive alignment

- Current methods

messy, new styles

- Tree and star alignment

clean

Reading:

- Material based on Chapter 14.6 in book “Algorithms on Strings, Trees and Sequences” by Dan Gusfield
- Lecture notes

Multiple Sequence Alignment Problem w/ SP-Score

MSA-SP problem: Given strings $\mathbf{v}_1, \dots, \mathbf{v}_k$ and scoring function $\delta : (\Sigma \cup \{-\}) \times (\Sigma \cup \{-\}) \rightarrow \mathbb{R}$, find multiple sequence alignment \mathcal{M}^* with **maximum** value of $\text{SP-score}(\mathcal{M}^*) = \sum_{i=1}^k \sum_{j=i+1}^k S(\mathbf{v}_i, \mathbf{v}_j)$ where $S(\mathbf{v}_i, \mathbf{v}_j)$ is the score of the induced pairwise alignment of $(\mathbf{v}_i, \mathbf{v}_j)$ in \mathcal{M}^* using δ

Weighted SP-Edit Distance problem: Given strings $\mathbf{v}_1, \dots, \mathbf{v}_k$ and cost function $\delta : (\Sigma \cup \{-\}) \times (\Sigma \cup \{-\}) \rightarrow \mathbb{R}$, find multiple sequence alignment \mathcal{M}^* with **minimum** value of $\text{SP-score}(\mathcal{M}^*) = \sum_{i=1}^k \sum_{j=i+1}^k S(\mathbf{v}_i, \mathbf{v}_j)$ where $S(\mathbf{v}_i, \mathbf{v}_j)$ is the cost of the induced pairwise alignment of $(\mathbf{v}_i, \mathbf{v}_j)$ in \mathcal{M}^* using δ

Tree Alignment

Input: $\bar{v}_1, \dots, \bar{v}_k$

$$D(\bar{v}_1, \bar{v}_3) = 1$$

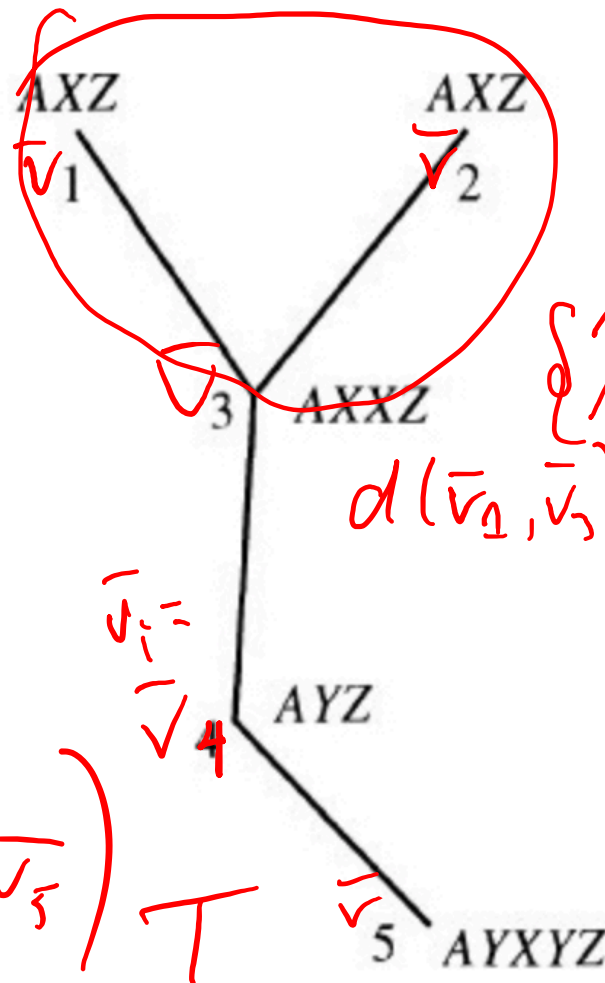
$$D(\bar{v}_i, \bar{v}_j)$$

= opt/min cost

of aligning

\bar{v}_i and \bar{v}_j

$$((\bar{v}_1, \bar{v}_3), \bar{v}_2), \bar{v}_4), \bar{v}_5)$$



$$\begin{cases} A X X Z \\ A X - Z \end{cases} \quad d(\bar{v}_1, \bar{v}_3) = 1$$

Let T be a tree with k vertices labeled uniquely by $\{\bar{v}_1, \dots, \bar{v}_k\}$.

b)

3	A	X	X	-	Z
1	A	X	-	-	Z
2	A	-	X	-	Z
4	A	Y	-	-	Z
5	A	Y	X	X	Z

$\left. \begin{matrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{matrix} \right\} A$

A multiple alignment A of $\bar{v}_1, \dots, \bar{v}_k$ is consistent with T if induced pairwise alignment of \bar{v}_i and \bar{v}_j has cost $D(\bar{v}_i, \bar{v}_j)$ for all edges (v_i, v_j) of T .

Figure 14.6: a. A tree with its nodes labeled by a (multi)set of strings, b. A multiple alignment of those strings that is consistent with the tree. The pairwise scoring scheme scores a zero for each match and a one for each mismatch or space opposite a character. The reader can verify that each of the four induced alignments specified by an edge of the tree has a score equal to its respective optimal distance. However, the induced alignment of two strings which do not label adjacent nodes may have a score greater than their optimal pairwise distance.

Thm 1 (Gusfield). Let T be a tree whose nodes are labeled uniquely by $\bar{v}_1, \dots, \bar{v}_k$. ^{\Rightarrow max length is n} We can compute an alignment $A(T)$ of $\bar{v}_1, \dots, \bar{v}_k$ that is consistent with T in $O(k^2 n^2)$ time.

Proof. WLOG, assume that vertices $\bar{v}_1, \dots, \bar{v}_k$ are arranged s.t. $\bar{v}_1, \dots, \bar{v}_i$ induces a connected subtree of T .

Prove inductively that adding each string \bar{v}_i while maintaining consistency can be done in $O(in^2)$ time.

$$\sum_{i=1}^k O(in^2) = O(n^2) \sum_{i=1}^k O(i) = O(n^2) \cdot O(k^2) = O(k^2 n^2).$$

Base case: $i=2$. \bar{v}_1 and \bar{v}_2 , compute pairwise alignment in $O(n^2)$ time. By definition this alignment will be consistent with

$T[\{\bar{v}_1, \bar{v}_2\}]$



resulting alignment
is consistent with $T[\{\bar{v}_1, \bar{v}_2\}]$
in time $O(n^2)$.

Step: $i \rightarrow 2$. IH: We are given tree T' and $A(T')$ that is consistent with strings $\bar{v}_1, \dots, \bar{v}_{i-1}$.

Consider \bar{v}_i . By definition, there is a vertex among $\{\bar{v}_1, \dots, \bar{v}_{i-1}\}$ adjacent to \bar{v}_i . Let's call this vertex \bar{v}_j . Extract gapped seq. \tilde{v}_j

corresponding to \bar{v}_j . We align \tilde{v}_j and \bar{v}_i with the added rule that $\boxed{\delta(-, -) = 0}$ i.e. Two opp^{os} gaps have a cost of 0.

Let ~~\tilde{v}_j~~ \tilde{v}_j' and \tilde{v}_i be the two resulting gapped sequences. If this ^{new} alignment did not insert new gaps into \tilde{v}_j then we add ~~\tilde{v}_i~~ to $A(T')$ ($\tilde{v}_j' = \tilde{v}_j$). The result is a multiple alignment with one more string, induced cost of $\tilde{v}_j' = \tilde{v}_j$ and \tilde{v}_i equals $D(\bar{v}_j, \bar{v}_i)$.

However, if opt. alignment of \tilde{v}_j and \bar{v}_i inserted a gap in \tilde{v}_j (i.e. $\tilde{v}_j' \neq \tilde{v}_j$), say b/w characters l and $l+1$.

We insert a gap b/w columns l and $l+1$ in each sequence of the previous mult. alignment $A(T')$. Observe that the induced costs of \downarrow edges of T' remain unchanged.

$\bar{v}_1, \dots, \bar{v}_{i-1}$. On the other hand cost of induced pairwise alignment of \bar{v}_j and \bar{v}_i equals $D(\bar{v}_j, \bar{v}_i)$.

In both cases, the new alignment is consistent with the tree T' extended with vertex \bar{v}_i .

For the running time, observe that $A(T')$ is composed of $(i-1)$ ^{synt} seqs. each of length at most $(i-1)n$. Worst case \tilde{v}_j has length $(i-1)n = \underline{O(in)}$ while \bar{v}_i has length $\leq n$ $O(n)$. We can compute alignment b/w \tilde{v}_j and \bar{v}_i in $\underline{O(in^2)}$ time.

Input $\bar{v}_1, \dots, \bar{v}_k \in \Sigma^*$; $\boxed{\delta}: (\Sigma \cup \{-\}) \times (\Sigma \cup \{-\}) \rightarrow \mathbb{R}$

Goal: Find multiple alignment A with SP score $SP(A)$ that comes with approximation guarantee

$$\begin{array}{ccc} A & & A^* \\ \downarrow & & \\ SP(A) & \geq & SP(A^*) \\ \frac{SP(A) = 10}{SP(A^*) = 5} & \leq & 2 \end{array}$$

$$\frac{SP(A)}{SP(A^*)} \leq \frac{2}{\epsilon}$$

Def 2. A cost function δ satisfies the triangle inequality if

$$\delta(x, z) \leq \delta(x, y) + \delta(y, z)$$

$$\forall x, y, z \in \Sigma \cup \{-\}$$

	x	y	z
. x	0	1	1
. y	1	0	1
. z	1	1	0

classical
edit
dist.

$$\rightarrow x = y = z$$

$$s(x, z) \leq s(x, y) + s(y, z)$$

$$s(x, x) \leq s(x, x) + s(x, x)$$

$$0 \leq \boxed{0} + \boxed{0}$$

$D(\bar{v}_i, \bar{v}_j)$ opt cost of aligning \bar{v}_i and \bar{v}_j
(in isolation).

Given a mult. alignment A

$d(\bar{v}_i(A), \bar{v}_j(A))$ cost of induced \nearrow by A
 \downarrow
pairwise alignment of \bar{v}_i and \bar{v}_j

$$D(\bar{v}_i, \bar{v}_j) \leq d(\bar{v}_i(A), \bar{v}_j(A))$$

\forall mult. A , \bar{v}_i, \bar{v}_j .

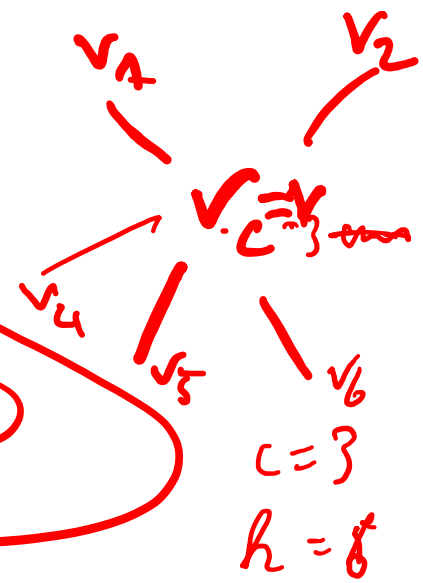
Def. 3 Given strings $\bar{v}_1, \dots, \bar{v}_h$, the center string \bar{v}_c is the input string ($c \in [h]$) that minimizes

$$\sum_{i=1}^h \underline{D(\bar{v}_c, \bar{v}_i)}$$

The center star is a star tree of

h nodes with center node labeled by \bar{v}_c and each of remaining $h-1$ nodes labeled by $\{\bar{v}_1, \dots, \bar{v}_h\} \setminus \{\bar{v}_c\}$.

$$\underline{D(\bar{v}_c, \bar{v}_c) = 0}$$



Construct alignment A_c of $\bar{v}_1, \dots, \bar{v}_h$ consistent with center star T_c .

① Identify \bar{v}_c

- compute all pairwise alignments $O(h^2 n^2)$ time
- identify \bar{v}_c $O(h^2)$ time
- gives you center star T_c

② Construct A_c consistent with T_c

$O(h^2 n^2)$ time.

↳ with SP cost $d(A_c)$

Thm. $\frac{d(A_c)}{d(A^*)} \leq \frac{2(h-1)}{h} \leq \boxed{2}$

holds for
any cost function
satisfying
triangle req.

$$h=3$$

$$\frac{2 \cdot (3-1)}{3} = \frac{4}{3}$$

$$h=4$$

$$\frac{2(4-1)}{4} = \frac{6}{4}$$

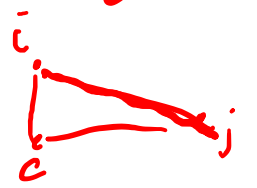


$$h=\infty$$

$$\leq 2$$

Lemma 1. Let δ be a cost function that satisfies the triangle inequality. and let \bar{v}_c be the center string of a cater star alignment A_c of input $\bar{v}_1, \dots, \bar{v}_k$. Then, for any input string \bar{v}_i and \bar{v}_j it holds that

$$\underbrace{d(\bar{v}_i(A_c), \bar{v}_j(A_c))}_{\text{triangle inequality}} \leq \underbrace{d(\bar{v}_i(A_c), \bar{v}_c(A_c))}_{\text{triangle inequality}} + \underbrace{d(\bar{v}_c(A_c), \bar{v}_j(A_c))}_{\text{triangle inequality}}$$



$$\textcircled{=} D(\bar{v}_i, \bar{v}_c) + D(\bar{v}_c, \bar{v}_j)$$

Consider any column of A_c .

$\bar{v}_i(A_c)$

$\bar{v}_c(A_c)$

$\bar{v}_j(A_c)$

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

$\Sigma \cup \delta - \gamma$

$$\delta(x, z) \leq \delta(x, y) + \delta(y, z)$$

Thm 2.

$$\frac{d(A_c)}{d(A^*)} \leq \frac{2(h-1)}{h}$$

$$\sum_{i=1}^{h''} \sum_{j=i+1}^h d(\bar{v}_i(A_c), \bar{v}_j(A_c))$$

SP score of A_c

Proof.

$$f(A_c) = \sum_{\substack{(i,j) \in [h]^2 \\ i \neq j}} d(\bar{v}_i(A_c), \bar{v}_j(A_c))$$

$$f(A^*) = \sum_{\substack{(i,j) \in [h]^2 \\ i \neq j}} d(\bar{v}_i(A^*), \bar{v}_j(A^*))$$

clearly, $f(A_c) = 2 \cdot d(A_c)$

$$f(A^*) = 2 \cdot d(A^*)$$

$$F(A_c) = \sum_{\substack{(\bar{i}, \bar{j}) \in [h]^2 \\ \bar{i} \neq \bar{j}}} d(\bar{v}_{\bar{i}}(A_c), \bar{v}_{\bar{j}}(A_c))$$

$$\leq \text{triangle inequality} \quad \{ \text{lemma} \}$$

$$\sum_{\substack{(\bar{i}, \bar{j}) \in [h]^2 \\ \bar{i} \neq \bar{j}}} [d(\bar{v}_{\bar{i}}(A_c), \bar{v}_{\bar{c}}(A_c)) + d(\bar{v}_{\bar{c}}(A_c), \bar{v}_{\bar{j}}(A_c))]$$

$$= \sum_{\substack{\bar{i}, \bar{j} \in [h]^2 \\ \bar{i} \neq \bar{j}}} [\underbrace{D(\bar{v}_{\bar{i}}, \bar{v}_{\bar{c}})} + \underbrace{D(\bar{v}_{\bar{c}}, \bar{v}_{\bar{j}})}]$$

Observe that for any fixed j each of the terms $D(\bar{v}_c, \bar{v}_j)$ and $D(\bar{v}_j, \bar{v}_c)$ show up $k-1$ times. Moreover, $D(\bar{v}_c, \bar{v}_j) = D(\bar{v}_j, \bar{v}_c)$

$$\begin{aligned} \underline{F(A_c)} &\leq \sum_{\substack{i, j \in [k]^2 \\ i \neq j}}^k [D(\bar{v}_i, \bar{v}_c) + D(\bar{v}_c, \bar{v}_j)] \\ &= 2(k-1) \sum_{\bar{j}=1}^k D(\bar{v}_c, \bar{v}_{\bar{j}}) . \end{aligned}$$

$$F(A^*) = \sum_{\substack{(i,j) \in [A]^2 \\ \bar{i} \neq \bar{j}}} \underbrace{d(\bar{v}_i(A^*), \bar{v}_j(A^*))}$$

$$\geq \sum_{\substack{(i,j) \in [A]^2 \\ \bar{i} \neq \bar{j}}} D(\bar{v}_i, \bar{v}_j)$$

$$= \sum_{\bar{i}=1}^h \sum_{\bar{j}=1}^h D(\bar{v}_i, \bar{v}_j)$$



cost of a star with center \bar{v}_i

$$D(\bar{v}_i, \bar{v}_i) = 0$$



Recall that \bar{v}_c corresponds to the star with smallest cost.

$$f^*(A^*) \geq$$

$$\sum_{i=1}^n$$

$$\sum_{j=1}^n D(\bar{v}_i, \bar{v}_j)$$

$$\geq \sum_{j=1}^n D(\bar{v}_c, \bar{v}_j)$$

star centered at \bar{v}_i

$$\sum_{j=1}^n D(\bar{v}_i, \bar{v}_j)$$

$$\geq \sum_{j=1}^n D(\bar{v}_c, \bar{v}_j)$$

center star

$$\underline{F(A_c)} \leq 2(k-1) \sum_{j=1}^k D(\bar{v}_c, \bar{v}_j)$$

$$\underline{F(A^*)} \geq k \sum_{j=1}^k D(\bar{v}_c, \bar{v}_j)$$

$$\frac{d(A_c)}{d(A^*)} \leq \frac{F(A_c)}{F(A^*)} \leq \frac{2(k-1) \sum_{j=1}^k D(\bar{v}_c, \bar{v}_j)}{k \sum_{j=1}^k D(\bar{v}_c, \bar{v}_j)} \leq \frac{2(k-1)}{k} \xrightarrow[k \rightarrow \infty]{} 2$$

Summary

1. Optimal pairwise alignment by dynamic programming in $O(n^2)$ time
2. Optimal multiple alignment with SP-score by dynamic programming in $O(k^2 2^k n^k)$ time
3. Multiple alignment with SP-score is NP-hard (Jiang and Wang, 1994)
4. Carrillo-Lipman enables us to decide whether alignment passes through a vertex (i_1, i_2, i_3) for $k = 3$ sequences (generalizes to $k > 3$)
5. Progressive alignment methods are widely used, but come with no theoretical bounds on alignment quality
6. Star alignment gives 2-approximation algorithm

History

- 1975 Sankoff
Formulated MSA problem and gave dynamic programming solution
- 1988 Carrillo-Lipman
Branch and Bound approach for MSA
- 1990 Feng-Doolittle
Progressive alignment
- 1993 Gusfield
Star alignment: 2-approximation algorithm
- 1994 Jiang and Wang
MSA with SP-score is NP-hard
- 1994 Thompson-Higgins-Gibson: ClustalW
Most popular multiple alignment program
- 2000 Notredam-Higgins-Heringa: T-coffee
Use library of pairwise alignments
- 2004 Edgar: MUSCLE
Refinement