# CS 466 Introduction to Bioinformatics Lecture 18

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## Course Announcements

#### Project Proposal due Nov 14th

## Outline

Hidden Markov Models: Viterbi algorithm

#### Reading:

- Jones and Pevzner: Chapters 11.1-11.3
- Lecture notes

**Question**: Given four nucleotides  $\Sigma = \{A, T, C, G\}$ , what is the probability of observing dinucleotide CG?

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NH<sub>2</sub>

NH<sub>3</sub>C

NH<sub>2</sub>

NH<sub>3</sub>C

NH

NH

NH

NH

NH

Source: Wikipedia

$$CG \rightarrow C^*G \rightarrow TG$$

 ${\it CG}$  is least observed dinucleotide as  ${\it C}$  is easily methylated and has tendency to mutate into a  ${\it T}$  afterwards

- Methylation is suppressed around promoter regions of genes in a genome. So CG appears at relatively high frequency within these CpG island.
- Finding CpG islands in a genome is an important problem for annotating genes and regulatory regions.

CATTCCGCCTTCTCCCGAGGTGGCGCGTGGGA CTCTTAGTTTTGGGTGCATTTGTCTGGTCTTCCAAA GGTGTTTTGCTCGGGTTCTGTAAGAATAGGCCAGG GGGATGCGCTCATCCCCTCTCGG GCCTGCGAGATGTTTTCCGACGGACAATGATTC CTCTGTGCTGTGATTGGTCACAGCCCGTGTCCGTC GGCGCCGGGGCGGATACGAGGTGACGC GGGCAGTGTGACGCCAGCGCTCCTGGGAGGCGC TGCGTGATGGGGCTGCGGAGCGGCGCCCTGCGG GCGGCCGCTGCCGCTGAGGTGCGT GCTCCTGTTGACCCGGTCGCCCGTCGGTCTGC GGGTTGGGGAGGG GGGGAGGAGCGGCCGGGCCGG

CTAGATTGAAAGCTCTGAAAAAAAAAAACTATCTTGT AAGCCCTAGCCAGCCTCCAGCAAGTGGACATTGGT AAGAATGAAAATAGCTTGTCACCTCGTGGCCTCAG GCCTCTTGACTTCAGGCGGTTCTGTTTAATCAAGT GACATCTTCCCGAGGCTCCCTGAATGTGGCAGATG AAAGAGACTAGTTCAACCCTGACCTGAGGGGAAAG CCTTTGTGAAGGGTCAGGAG

**Left**: CpG sites at 1/10 nucleotides, constituting a CpG island. The sample is of a gene-promoter, the highlighted ATG consitutes the start codon.

Right: CpG sites present at every 1/100 nucleotides, consituting a more normal example of the genome, or a region of the genome that is commonly methylated.

Source: Wikipedia

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**Left**: CpG sites at 1/10 nucleotides, constituting a CpG island. The sample is of a gene-promoter, the highlighted ATG consitutes the start codon.

**Right**: CpG sites present at every 1/100 nucleotides, consituting a more normal example of the genome, or a region of the genome that is commonly methylated.

Source: Wikipedia

Input: DNA sequence 
$$\mathbf{x}=x_1x_2\dots x_n$$
 Output:  $\pi:\{1,\dots,n\} \to \{\mathrm{yes},\mathrm{no}\}$   $\mathbf{x}=$  CGAT TG CGA AAAAAAT AACGA TTATATCG  $\pi=$  yes no yes no yes no

#### **Question**: How do we identify CpG islands?

## A Related Problem: Fair Bet Casino

Game is to flip coins, two outcomes:



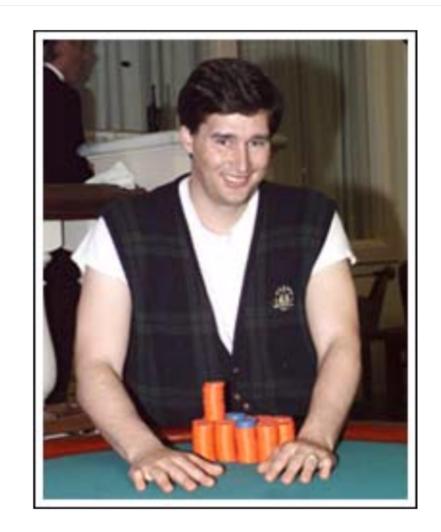


Head or Tail

Two coins: Fair and Biased

$$Pr(H \mid F) = Pr(T \mid F) = 1/2$$
  
 $Pr(H \mid B) = 3/4, Pr(T \mid B) = 1/4$ 

 The crooked dealer changes between Fair and Biased coins with probability 10%



# CpG Islands and Fair Bet Casino

#### **CG** Islands

Input: DNA sequence  $\mathbf{x} = x_1 x_2 \dots x_n$  where  $x_i \in \{A, T, C, G\}$ 

Output:  $\pi : \{1, \dots, n\} \rightarrow \{\text{yes}, \text{no}\}$ 

$${f x}=$$
 CGAT TG CGA AAAAAAT AACGA TTATATCG  $\pi=$  yes no yes no

#### **Fair Bet Casino**

Input: Coin flips  $\mathbf{x} = x_1 x_2 \dots x_n$  where  $x_i \in \{H, T\}$ 

Output:  $\pi : \{1, ..., n\} \to \{F, B\}$ 

$$\mathbf{x} = \begin{picture}(2000) \put(0.000){\line(0.000){\li$$

Question: Given x, what is more likely:  $\pi$  or  $\pi'$ ?

# Markov Model $\mathcal{M} = (Q, Q)$

- Set of states Q

$$\Pr(Q_i = q_i \mid Q_1 = q_1, \dots, Q_{i-1} = q_{i-1}) = \Pr(Q_i = q_i \mid Q_{i-1} = q_{i-1})$$

- Transition probabilities  $\underline{A} = [a_{ii}]$  on pairs of states
  - Rows sum to 1

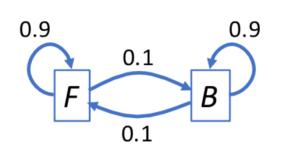


Andrey Markov (source: Wikipedia)

#### Fair Bet Casino

$$Q = \{F, B\}_{\beta}$$

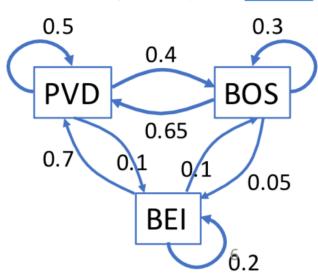
$$A = \begin{bmatrix} 0.9 & 0.1 \\ 0.1 & 0.9 \end{bmatrix}$$



#### Where is the professor?

 $Q = \{Providence, Boston, Beijing\}$ 

$$A = \begin{pmatrix} 0.5 & 0.4 & 0.1 \\ 0.65 & 0.3 & 0.05 \\ 0.7 & 0.1 & 0.2 \end{pmatrix}$$



# Hidden Markov Model $\mathcal{M}=(Q,A)$

- Set of hidden states Q

- Markov property
- Transition probabilities  $A = [a_{ii}]$  on pairs of states
- Set of *emitted* symbols  $\Sigma$
- Emission probabilities  $E = [e_{ik}]$  on state-symbol pairs

#### Two decisions:

- What symbol should I emit? [emission probabilities E]

#### **Fair Bet Casino**

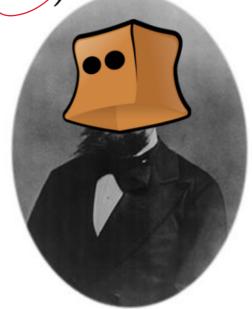
$$Q = \{F, B\}$$

$$A = \begin{pmatrix} 0.9 & 0.1 \\ 0.1 & 0.9 \end{pmatrix} \stackrel{F}{_{B}}$$

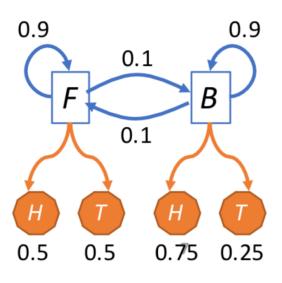
$$\Sigma = \{H, T\}$$

$$H \qquad T$$

$$E = \begin{pmatrix} 0.5 & 0.5 \\ 0.75 & 0.25 \end{pmatrix} \stackrel{F}{_{B}}$$



Andrey Markov



# Three Questions given $\mathcal{M}=(0, A, \Xi, E)$ $|\pi|=|\pi|$ $|\pi|=|\pi|$

Joint probably Question 1: 
$$\pi^* = \operatorname{argmax} \operatorname{Pr}(\bar{x}, \bar{\pi})$$
  $\bar{\tau} \in \mathcal{E}^h$ 

What is the most probable path  $\pi^*$  that generated observations x?

Ford: Tiz, ---, Tin EQ

Marguel prob.

Question 2: 
$$P(\bar{\chi}) = \mathcal{P}(\bar{\chi}, \bar{\eta})$$

What is probability of observations  $\bar{\mathbf{x}}$  generated by any path  $\boldsymbol{\pi}$ ?

Posterior probabity

What is the probability of observation  $x_i$  generated by state s?

# Three Questions

#### **Question 1**:

What is the most probable path  $\pi^*$  that generated observations x?

#### **Question 2:**

What is probability of observations x generated by any path  $\pi$ ?

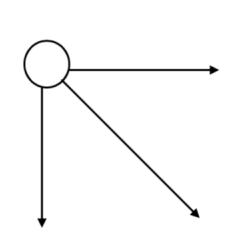
#### **Question 3:**

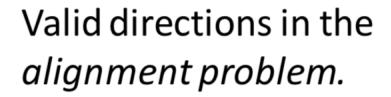
What is the probability of observation  $x_i$  generated by state s?

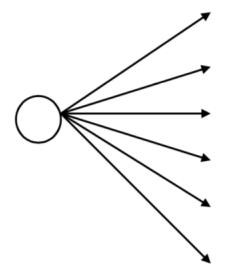
# Joint Probability

# Recurrence

# Alignment vs. Decoding Problem







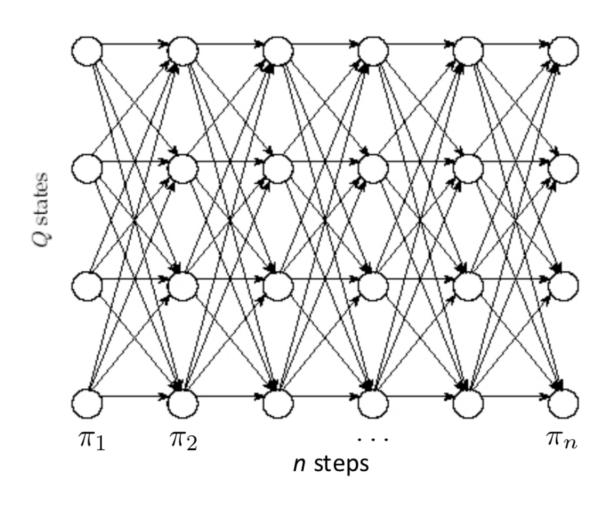
Valid directions in the decoding problem.

# Viterbi Algorithm

• Finds path  $\pi^*$  with maximum  $\Pr(\mathbf{x}, \pi^*)$ 

Dynamic Programming algorithm

• Runs in  $O(\#\text{edges}) = O(n|Q|^2)$ 



# Viterbi Algorithm – Numerical Issues

#### Value of products can become extremely small, leading to underflow

$$v[s,i] = \begin{cases} a_{0,s}, e_{S,\chi_{d}} & \text{if } i \neq 0, \\ e_{s,x_{i}} \max_{t \in Q} \{v[t,i-1] \cdot a_{s,t}\}, & \text{if } i > 0. \end{cases}$$

$$\bar{\chi}_{i} = \chi_{2}, \dots, \chi_{i}$$

$$\bar{\pi}_{i} = \bar{\pi}_{4}, \dots, \bar{\pi}_{i} \end{cases} \quad v[s,i] = P_{r}(\bar{\chi}_{i}, \pi_{i} = s, \pi_{i-1}^{*})$$

$$v[s,1] = P_{r}(\chi_{1}, \pi_{4} = s) = a_{0,s} e_{s,\chi_{4}} \quad \forall s \in \mathcal{Q}$$

$$P_{r}(\bar{\chi}, \bar{\pi}^{*}) = \max_{s \in \mathcal{Q}} P_{r}(\bar{\chi}_{n}, \pi_{n} = s, \bar{\pi}_{n-1}^{*}) = \max_{s \in \mathcal{Q}} v[s,n]$$

# Viterbi Algorithm – Numerical Issues

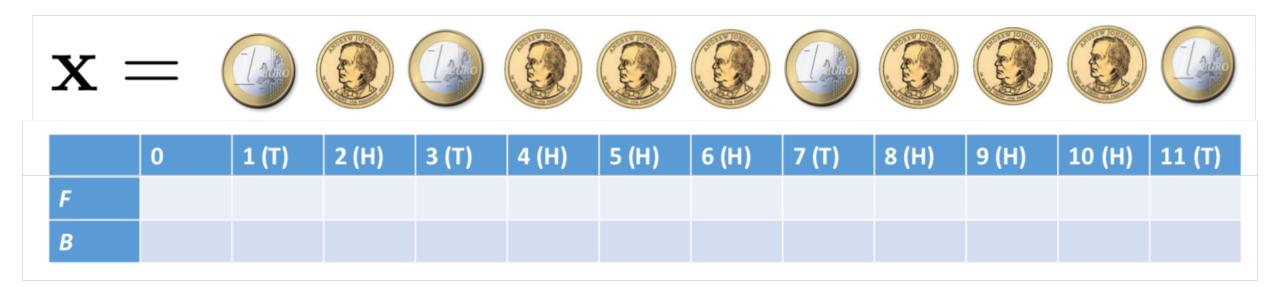
Value of products can become extremely small, leading to underflow

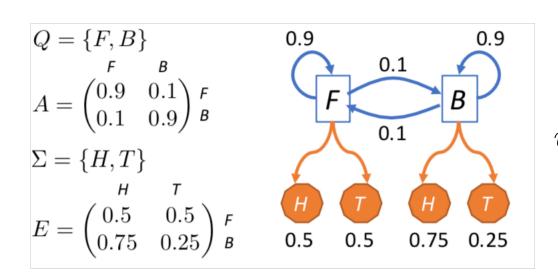
$$v[s,i] = \begin{cases} a_{0,s}, & \text{if } i = 0, \\ e_{s,x_i} \max_{t \in Q} \{v[t,i-1] \cdot a_{s,t}\}, & \text{if } i > 0. \end{cases}$$

#### Use logarithms!

$$\log(v[s,i]) = \begin{cases} \log(a_{0,s}), & \text{if } i = 0, \\ \log(e_{s,x_i}) + \max_{t \in Q} \{\log(v[t,i-1]) + \log(a_{s,t})\}, & \text{if } i > 0. \end{cases}$$

# Fair Bet Casino: Example

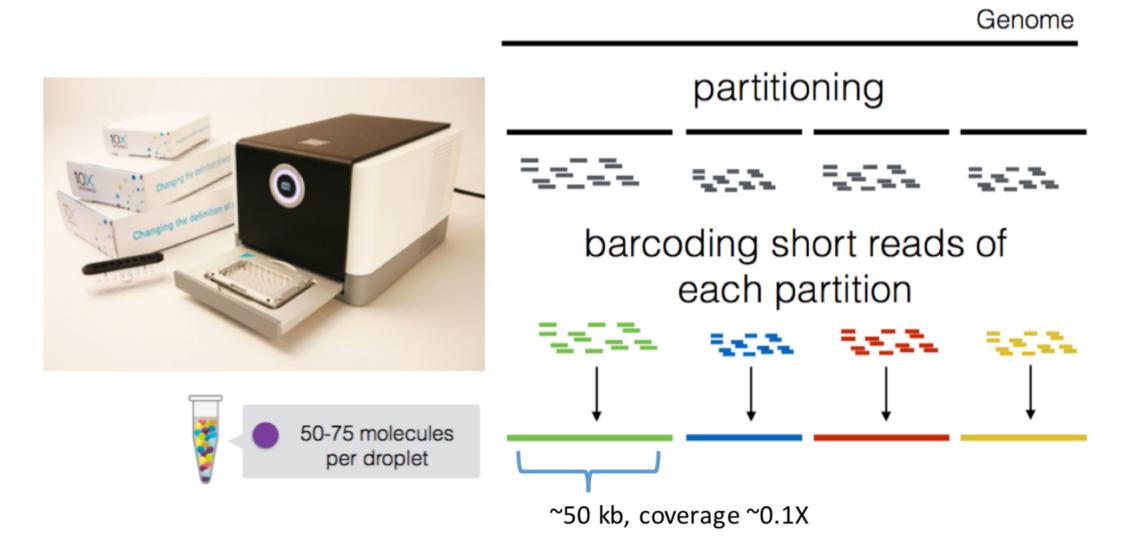




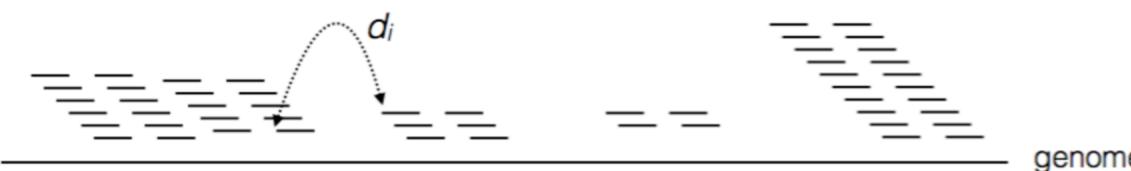
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# 10X Genomics: Synthetic Long Reads

Genome indexing by partitioning and molecular barcoding



 $R_j = \{r_i \mid \forall i, r_i \text{ contains barcode } j\}$ : Paired-reads possessing barcode j



#### Sort linked-reads and calculate distances between them

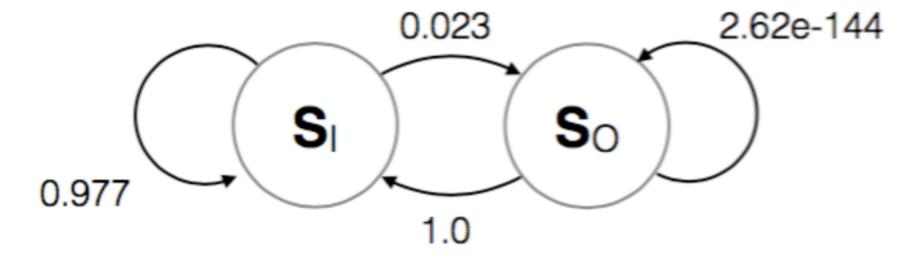
 $D_j = [d_i \mid \forall i, d_i : distance between <math>r_i$  and  $r_{i+1}, r_i < r_{i+1}]$ 

#### Define distances di as intra- or inter- long molecules

$$\Sigma_i = [d_1, d_2, d_3, \dots d_{500}, d_{501}, d_{502}, \dots d_{1001}, d_{1002}, d_{1003}, \dots]$$
  
 $Q_j = [I, I, I, \dots, I, O, I, \dots, I, O, I, \dots]$ 

I: intra long molecule, O: inter long molecules

### **HMM**



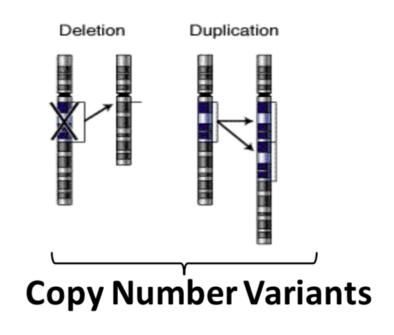
$$\sum_{i} = [d_{1}, d_{2}, d_{3}, \dots d_{500}, d_{501}, d_{502}, \dots d_{1001}, d_{1002}, d_{1003}, \dots]$$

$$Q_{j} = [I, I, I, \dots, I, O, I, \dots, I, O, I, \dots]$$

$$\ell_{j1}$$

I: intra long molecule, O: inter long molecules

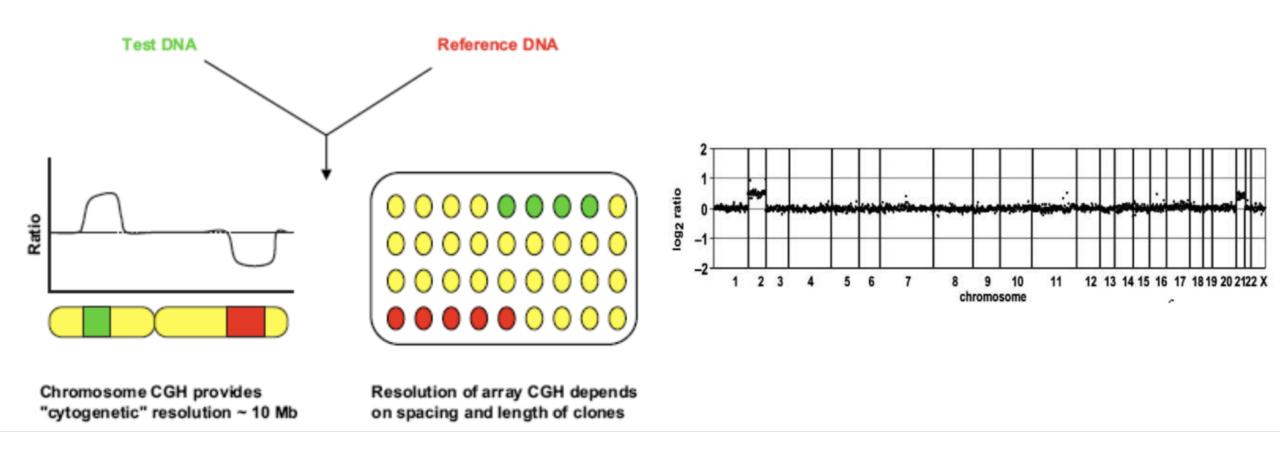
# Copy Number Variation



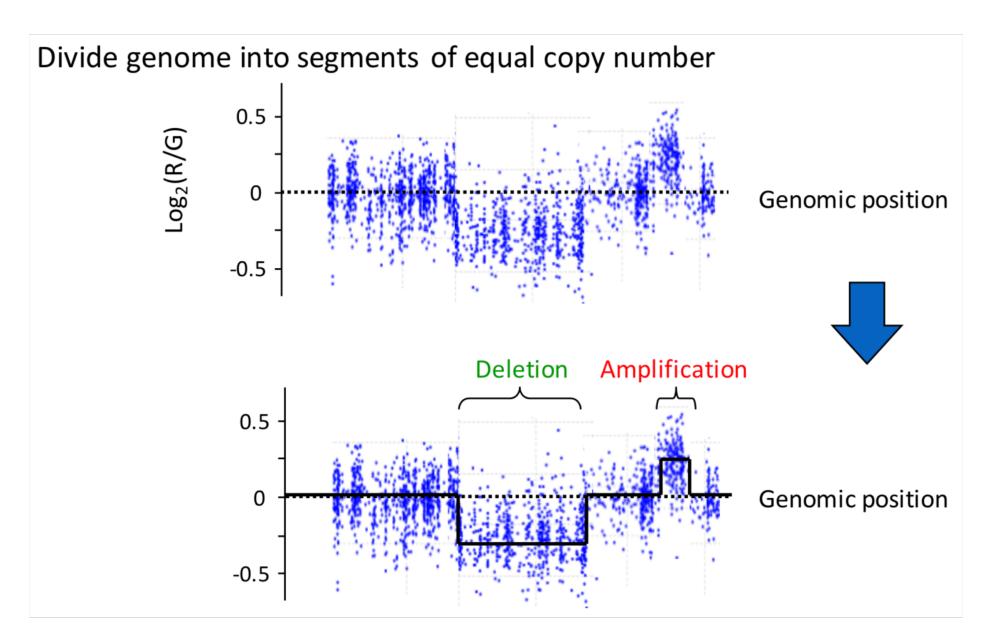
- Different individuals may have different number of copies of segments of genome.
- These variants are associated with various diseases: autism, schizophrenia, cancer

# Measuring Copy Number Variants

Comparative Genomic Hybridization (CGH)

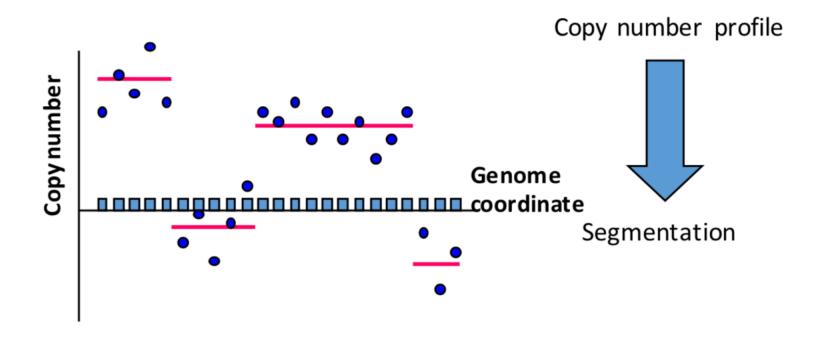


# Segmentation and Copy Number Calling



# Segmentation and Copy Number Calling

Divide genome into segments of equal copy number



**Input**:  $X_i = \log_2 T_i / R_i$ , clone i = 1, ..., N

**Output**: Assignment  $s(i) \in \{S_1, ..., S_K\}$  where  $S_i$  represent *copy number states* 

# Summary

- Markov property Current state depends only on previous state
- Hidden Markov Models: states are not given only emitted symbols
- Viterbi algorithm: Find the most likely sequence of states given a set of observations

#### Reading:

- Jones and Pevzner: Chapters 11.1-11.3
- Lecture notes

#### Project Proposal due Nov 14th